

Cecile Erwin Young
Executive Commissioner

Long-Term Care Regulatory Provider Letter

Number: PL 2021-19 (revised)

Title: Certification Process for Nurse Aides Training and Working Under a

Waiver

Provider Types: Nursing Facility (NF)

Date Issued: Revised July 17, 2023

1.0 Subject and Purpose

This letter describes the process a person who has completed nurse aide tasks under the waiver during the COVID-19 public health emergency (PHE) can follow to complete a Nurse Aide Training and Competency Evaluation Program (NATCEP) and be added to the nurse aide registry.

HHSC revised this PL on March 16, 2023, to remove rule references to temporary rules. Work training and experience at any licensed nursing facility in Texas now qualifies under permanent rule. This PL has been further revised on July 17, 2023, to inform nurse aides and nursing facilities that the state PHE ended on June 15, 2023.

Under the Centers for Medicare and Medicaid Services (CMS) waiver and the suspension of nurse aide rules, Texas nursing facilities have employed and trained numerous staff who are not certified nurse aides to complete nurse aide tasks. Once this waiver is no longer available, either through termination of the emergency declaration or through other means, the staff completing these tasks will no longer be able to do so, unless the staff become certified nurse aides (CNA). President Biden announced that the federal PHE declaration will end on May 11, 2023. Governor Abbott announced that the state PHE declaration will end on

June 15, 2023. Nurse aide candidates will have until October 15, 2023, to become certified using the process at 26 TAC §556.100 to become eligible to sit for the examinations. After October 15, 2023, nurse aide candidates must meet the requirements of formal program training to be eligible to sit for the examinations.

To ensure continued staffing at nursing facilities, HHSC has developed a plan to allow staff who, during the declared PHE, completed work training and gained experience in all subject areas required for a NATCEP to count this training and experience toward nurse aide certification. Each nurse aide candidate is still required to successfully complete both the written/oral and skills examinations prior to being certified and placed on the nurse aide registry.

2.0 Policy Details & Provider Responsibilities

Work training and experience at any licensed nursing facility in Texas can qualify under, <u>26 TAC § 556.100</u>, as long as it was acquired during the COVID-19 PHE and under the supervision of a licensed nurse (RN or LVN) who meets all qualifications described below.

Further, facilities without a NATCEP that seek to have an employed individual certified as a nurse aide still must work with an approved NATCEP to approve the individual for certification examinations. Facilities can go to the NATCEP Training Providers Directory Search to find an approved NATCEP to work with. When a nurse aide has completed the required hours, facilities will notify the NATCEP that a nurse aide must take the certification examinations.

A NATCEP that agrees to prepare an individual for the certification examinations under 26 TAC § 556.100 must accept the documentation of the employed individual's work training and experience in lieu of the equivalent requirements of formal program training. The NATCEP must follow all existing processes to run criminal background checks and verify the required hours as documented on LTCR Form 3767 (found below).

2.1 Qualifications of Instructor

The qualifications of the instructor are defined in 42 CFR §483.152(a)(5), which include that the instructor be a licensed

nurse (RN or LVN) with two years of experience, one of which must be in the provision of long-term care facility services. The instructor must also have completed a course in teaching adults or have experience in teaching adults or supervising nurse aides, and he or she cannot be a director of nursing.

2.2 LTCR Form 3767

The nursing facility must complete LTCR Form 3767 and include the following information:

- Nurse aide information, including the dates of training/work experience with the total number of hours obtained;
- Qualified instructor information regarding the nurse responsible for training and supervising the individual;
- An attestation by the nurse that he or she meets the qualifications to be a NATCEP instructor;
- A list of training requirements completed by the individual either through formal training or through work experience;
- An attestation by the nurse that the individual was trained on each requirement either through formal training or through work experience;
- An attestation that the duration of the work training and work experience fulfills the minimum number of hours of required training; and
- The signature of the instructor.

A nursing facility without a NATCEP must submit a completed LTCR Form 3767 to the NATCEP that has agreed to work with the facility. A facility with a NATCEP must retain the completed LTCR Form 3767 as part of the employee's record.

2.3 NATCEP

To approve individuals to sit for the nurse aide certification examinations under the current waiver, the NATCEP must use the Prometric process, which uses a unique temporary training program code for each participating facility the NATCEP partners with. Prometric is the entity in Texas that proctors the nurse aide examinations.

The NATCEP program director will upload candidates under the unique code – separate and distinct from students eligible under their current training program code – to distinguish individuals tested under this waiver. Participating facilities must provide the unique codes to the partner NATCEP. Prometric will associate the NATCEP and nursing facility in its systems to facilitate the uploads, and it will work with the nurse aide candidate to set up the certification examination, which might be held virtually for the written portion and in-person for the skills portion.

The candidate will take the examination. If the candidate passes the examination, Prometric will place the candidate on the Nurse Aide Registry and email the certification information to the candidate, including how to print his or her nurse aide certificate.

If a NATCEP has questions about this process, it should contact Prometric at oateam@prometric.com or Cindy Patterson, TXCNA Sr. Nurse Aide Evaluator, directly at cindy.patterson@prometric.com. See section 5.0 of this PL for questions about this PL or general nurse aide policy and rules.

3.0 Background/History

Ordinarily a nursing facility cannot employ a person to perform nurse aide tasks for more than four months if that person is not a CNA (a person who has completed classroom and clinical training and passed a nurse aide certification examination).

Because of the COVID-19 pandemic, CMS waived the four-month requirement, and the Office of the Governor approved a suspension of the corresponding state regulations.

On April 9, 2020, HHSC issued provider letter 2020-26 related to the governor's approval to suspend these provisions. The letter permitted a nursing facility to hire a nurse aide who is not certified to complete nurse aide tasks for longer than four months. The suspension was intended to provide flexibility in staffing during the pandemic. This waiver will end May 11, 2023 when the extension of the blanket waivers for Texas ends. Nurse aide candidates will have until October 15, 2023, to become certified using the process at 26 TAC §556.100

to become eligible to sit for the examinations.

4.0 Resources

- 1. LTCR Form 3767
- 2. Prometric's Candidate Resources
- 3. HHSC Nurse Aide Transition from Temporary Status Rules at 26 TAC § 556.100

5.0 Contact Information

LTCR Form 3767, Nurse Aide Work Training and Work Experience

Name:	Dat	te of Birth:	Social Security Number:			
Training Dates:						
Work Experience Dates:						
Total Number of Hours:						
Qualified Instructor (nurse responsible	e for training and	I supervising the in	ndividual):			
Name:		RN License:				
Nursing Facility (NF) Name:		NF License Num	ber: NF Facility ID:			
Training Requirements (minimum red • Check each topic on which the in	•	*	52(b)):			
General Topics	arridan was danie					
Communication and interpersonal	kills;					
Infection control;						
Safety/emergency procedures, included	iding the Heimlich n	naneuver;				
Promoting residents' independence						
Respecting residents' rights						
Basic Nursing Skills						
Taking and recording vital signs						
Measuring and recording height an	d weight					
	Caring for the residents' environment					
	Recognizing abnormal changes in body functioning and the importance of reporting such changes to a supervisor					
Caring for residents when death is	mminent					
Personal Care Skills						
	Bathing Control of the Control of th					
Grooming, including mouth care						
Dressing Toileting						
Assisting with eating and hydration						
Proper feeding techniques						
Skin care						
Transfers, positioning, and turning						
Mental Health and Social Service Needs						
Modifying aide's behavior in respo	nse to residents' beha	vior				
Awareness of developmental tasks						
How to respond to resident behavior		aging process				
		ng and reinforcing of	ner behavior consistent with the resident's dignity			
Using the resident's family as a sou			ici comarior consistent with the resident's dignity			
Care of Cognitively Impaired Residents	or emononar sup	F*				
	us mands and habarri	ana afin dividual svitla	dementia (Alzheimer's and others)			

Communicating with cognitively impaired residents

Understanding the behavior of cognitively impaired residents

Methods of reducing the effects of cognitive impairments

Appropriate responses to the behavior of cognitively impaired residents

Basic Restorative Services			
	Training the resident in self-care according to the resident's abilities		
	Use of assistive devices in transferring, ambulation, eating, and dressing		
	Maintenance of range of motion		
	Proper turning and positioning in bed and chair		
	Bowel and bladder training		

	Care and use of prosthetic and orthotic devices			
Residents' Rights				
	Providing privacy and maintenance of confidentiality			
	Promoting the residents' right to make personal choices to accommodate their needs			
	Giving assistance in resolving grievances and disputes			
	Providing needed assistance in getting to and participating in resident and family groups and other activities			
	Maintaining care and security of residents' personal possessions			
	Promoting the resident's right to be free from abuse, mistreatment, and neglect and the need to report any instances of such treatment to			
	appropriate facility staff			
	Avoiding the need for restraints in accordance with current professional standards			

As the nurse instructor, I attest to the following:

- I meet the qualifications of a nurse instructor. The qualifications of the instructor are defined in 42 CFR §483.152(a)(5), which include that the instructor be a licensed nurse (LVN or RN) with two years of experience, one of which must be in the provision of long-term care facility services. The instructor must also have completed a course in teaching adults or have experience in teaching adults or supervising nurse aides, and he or she cannot be a director of nursing.
- The duration of work training/experience that this individual obtained is at least 100 hours. If this is not the case, the total hours noted on this form is accurate.
- The work training/experience documented on this form was obtained at a licensed nursing facility during the COVID-19 public health emergency.

Nurse Instructor Signature		Date
Country, Territory or Nation:	State or Province:	County of:
Before me, the undersigned authority, on this the whose name is subscribed to the owners above and forgoing information supplied in thi	ship transfer affidavit and wh	
(Notary Seal)		
Subscribed and swornbefore me,	a Notary Public fo	for this state (or province) on the day of_
, 20		-
Signature – Notary Public		