



## Long-Term Care Regulation Provider Letter

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| <b>Number:</b> PL 2023-03                       |
| <b>Title:</b> Overview of F-tag Changes         |
| <b>Provider Types:</b> Nursing Facilities (NFs) |
| <b>Date Issued:</b> January 6, 2023             |

### 1.0 Subject and Purpose

The Centers for Medicare and Medicaid Services (CMS) issued [QSO 22-19](#) regarding revised surveyor guidance for nursing facilities. QSO 22-19 notifies providers and surveyors of changes to federal nursing facility regulations. HHSC is providing an overview of changes to F-tags in Appendix PP of the CMS State Operations Manual (SOM) as a resource to providers and surveyors. The attached resource is a high-level overview of changes. Please read QSO 22-19 and [Appendix PP](#) of the SOM for a full description of changes.

### 2.0 Policy Details & Provider Responsibilities

CMS published these updates in Appendix PP SOM to help surveyors, nursing facilities, and the public understand how compliance will be assessed. Surveyors began using the updated guidance to determine compliance with the revised requirements on October 24, 2022.

Nursing facilities are encouraged to take the training available in the [Quality, Safety, and Education Portal \(QSEP\)](#), which will explain the updates and changes to the regulations and guidance.

### 3.0 Background/History

In 2016, CMS overhauled the Requirements for Participation for Long-Term Care (LTC) facilities (i.e., nursing homes), which was implemented in three

phases: Phase 1- November of 2016, Phase 2 - November of 2017, and Phase 3 – November 28, 2019.

With this QSO, CMS is revising the Phase 2 guidance to enhance quality and oversight in certain areas, such as abuse and neglect; admission, transfer, and discharge; and improving care for individuals with mental health or substance use disorder needs.

In addition to the changes to Phase 2, CMS is providing guidance to implement the requirements for Phase 3, including guidance related to the requirement for all facilities to have an Infection Preventionist

## **4.0 Resources**

Overview of F-tag Changes

## **5.0 Contact Information**

If you have any questions about this letter, please contact the Policy and Rules Section by email at [LTCRPolicy@hhs.texas.gov](mailto:LTCRPolicy@hhs.texas.gov) or call (512) 438-3161.

# Overview of F-tag Changes

**Effective 10/24/2022**

Please refer to Appendix PP for full details and complete understanding of the revisions. Many of the revisions include expanded examples of deficiency categorization.

| <b>Tag</b> | <b>Tag Title</b>                                 | <b>Description of Changes</b>   |
|------------|--|---|
| F557       | Respect, Dignity/Right to have Personal Property | <p>Adds language to noncompliance to include facility staff searching a resident's body or possessions without the resident's consent.</p> <p>Adds language regarding the importance for facility staff to have knowledge of the signs, symptoms, and triggers of possible illegal substance use. It also indicates that the facility should not act as law enforcement. Rather, the case should be referred to local law enforcement. To protect the health and safety of other residents, facilities may need to provide additional monitoring.</p> |
| F561       | Self Determination                               | <p>Adds language detailing that if a facility changes its policy to prohibit smoking, it should still allow current residents who smoke to use a smoking area that maintains the quality of life while also being mindful of residents who are non-smoking.</p>   |

| <b>Tag</b> | <b>Tag Title</b>                            | <b>Description of Changes</b>   |
|------------|---|---|
| F563       | Right to Receive/Deny Visitors              | <p>Adds language indicating facilities may need to modify visitation practices during infectious outbreak/pandemic to align with CMS guidance and CDC guidelines.</p> <p>Adds language regarding visitation and illegal substance use. If the facility determines illegal substances have been brought by a visitor, the facility should not act as law enforcement. Rather, the case should be referred to local law enforcement. To protect the health and safety of other residents, facilities may need to provide additional monitoring.</p> |
| F582       | Medicaid/Medicare Coverage/Liability Notice | <p>Provides information regarding Notice of Medicare Non-Coverage (NOMNC), Form CMS-10123. The NOMNC informs beneficiaries of the right to an expedited review by a Quality Improvement Organization. Information is provided when the NOMNC is given and situations when the NOMNC is not given.</p> <p>Provides information on Skilled Nursing Facility Advanced Beneficiary Notice of Non-coverage (SNFABN), Form CMS-10055. Information is provided when the SNFABN is issued and any triggering events.</p>                                  |

| <b>Tag</b> | <b>Tag Title</b>            | <b>Description of Changes</b>  |
|------------|-----------------------------|--|
| F600       | Free from Abuse and Neglect | <p>Resident-to-resident altercations should be reviewed as potential abuse but not assumed as abuse.</p> <p>Adds language indicating that the facility must take steps to ensure residents are protected from abuse. Steps should include evaluation if the resident has the capacity to consent to sexual activity.</p> <p>Prior to citing a deficiency as past-noncompliance (PNC), surveyors should investigate each instance to determine if the facility took all appropriate actions to correct PNC.</p> <p>Expands the definition of "neglect" and provides examples of such. Adds language for surveyors to use if Tag F600 is cited for abuse or neglect.</p> <p>Expands the psychosocial outcome severity section to include information and examples.</p> |

| <b>Tag</b> | <b>Tag Title</b>                               | <b>Description of Changes</b>  |
|------------|--|--|
| F607       | Develop/Implement Abuse/Neglect, etc. Policies | <p>Provides revised definitions of "covered individual," "Crime," "law enforcement," "serious bodily injury," and "criminal sexual abuse."</p> <p>Provides clarification stating that if a facility has not developed or implemented policies related to screening procedures prior to employment, a finding of noncompliance should be considered at F607 not F606.</p> <p>Provides information regarding reporting of reasonable suspicions of a crime. Policies should be developed and implemented to promote a culture of safety and open communication. One option is prohibiting retaliation against an employee who reports a suspicion of a crime. Examples provided.</p> <p>Adds clarification indicating that the facility must develop policies and procedures detailing how staff will communicate situations of abuse, neglect, etc., with the QAPI program.</p> <p>Investigative protocol uses and procedures included.</p> |
| F608       | Reporting of Reasonable Suspicion of a Crime   | <p>Regulatory requirements §483.12(b)(5)(ii)(iii) relocated to F607.</p> <p>Regulatory requirements for §483.12(b)(5)(i)(A)(B) relocated to F609.</p>  |

| <b>Tag</b> | <b>Tag Title</b>                | <b>Description of Changes</b>   |
|------------|---------------------------------|---|
| F609       | Reporting of Alleged Violations | <p>Provides information on the intent of facilities developing and implementing policies and procedures.</p> <p>Provides revised definitions of "covered individual" and "crime," "law enforcement," "serious bodily injury," "criminal sexual abuse," and "willful."</p> <p>Provides clarification on how facility staff should proceed in ensuring the reporting when a suspected crime has been committed and updates the table describing the different reporting requirements.</p> <p>Addresses facility responsibilities for reporting allegations involving staff-to-resident abuse, resident-to-resident altercations, unknown injuries, misappropriation of resident property/exploitation, and mistreatment. Updates tables describing the different reporting requirements for each type of alleged abuse.</p> <p>Investigative protocol uses and procedures included.</p> |

| <b>Tag</b> | <b>Tag Title</b>                    | <b>Description of Changes</b>   |
|------------|-------------------------------------|---|
| F622       | Transfer and Discharge Requirements | <p>Clarification on situations when residents sign out of the facility or leave Against Medical Advice. This should be investigated to determine if the discharge is facility or resident initiated.</p> <p>Provides a case in which residents are admitted short-term under Medicare and the possibility of the resident not being ready to leave the facility. Cases like this may require further investigation to ensure there is not discrimination against payment source.</p> <p>Clarification on resident right to remain in facility with examples included.</p> <p>Clarification that emergency transfers to acute care are considered facility-initiated transfers and not discharges.</p> <p>Provides examples of severity levels in deficiency categorization.</p> |



| <b>Tag</b> | <b>Tag Title</b>                              | <b>Description of Changes</b>   |
|------------|---|---|
| F623       | Notice Requirements Before Transfer/Discharge | <p>Adds clarification that when residents sign out of the facility or leave Against Medical Advice (AMA), it should be investigated to determine if the discharge is facility or resident initiated.</p> <p>Expands on notice timing exceptions to the 30-day requirement.</p> <p>Provides clarification on changes to the notice. If a change in destination indicates that the original basis for discharge has changed, a new notice is required and additional appeal rights may exist for the resident, and further investigation may be required.</p>           |
| F626       | Permitting Residents to Return to Facility    | <p>Revises guidance to clarify that bed-hold policies apply to all residents regardless of their payment source. Guidance also outlines bed-hold period policies that must be implemented by the facility.</p> <p>Provides clarification that when a resident returns from a composite distinct part, they must be allowed to return to an available bed in the location of the composite distinct part they resided in previously.</p> <p>Adds language to the summary of investigative procedure regarding situations when a resident is not allowed to return.</p> |
| F641       | Accuracy of Assessments                       | <p>Adds a note pertaining to potential misdiagnosis related to antipsychotics and determining non-compliance.</p>   |

| <b>Tag</b> | <b>Tag Title</b>                              | <b>Description of Changes</b>  |
|------------|---|--|
| F656       | Develop/Implement Comprehensive Care Plan     | <p>Revises definitions of “culture,” “cultural competency,” and “trauma-informed care.”</p> <p>Provides tag references when investigating concerns related to culturally competent and trauma-informed care.</p>   |
| F658       | Services Provided Meet Professional Standards | <p>Adds a note pertaining to potential misdiagnosis related to antipsychotics and determining non-compliance.</p>  |
| F687       | Foot Care                                     | <p>Adds language detailing proper infection prevention practices for foot care equipment/devices for faculty staff to follow.</p>  |
| F689       | Free of Accident Hazards/Supervision/Devices  | <p>Adds electronic cigarettes to resident smoking section, detailing the risks associated with it.</p> <p>Adds language regarding situations of elopement and the risks it poses to a resident’s health and safety.</p> <p>Expands on safety for residents with substance use disorder (SUD) and the elopement risks associated with those with SUD.</p> <p>Provides additional reference information regarding the use of physical restraints in nursing homes.</p> |

| <b>Tag</b> | <b>Tag Title</b>     | <b>Description of Changes</b>   |
|------------|----------------------|---|
| F694       | Parenteral/IV Fluids | <p>Revises definitions of “parenteral fluid” and “intravenous (IV) therapy.”</p> <p>Adds the use of proper antiseptic policy the facility should implement to minimize risks to a resident receiving IV therapy.</p> <p>Adds information on the proper frequency of assessment of IV catheter, and factors which may determine frequency.</p>   |
| F697       | Pain Management      | <p>Revises definitions of “medication assisted treatment (MAT)” and “opioid use disorder (OUD).”</p> <p>Adds a section related to the use of opioids for pain management. Provided references and details on precautions due to the increasing opioid addiction, abuse, and overdose.</p> <p>Adds additional information and references to monitoring, reassessment, and care plan revision to include details regarding evaluation of side effects and increased pain.</p> |

| <b>Tag</b> | <b>Tag Title</b>  | <b>Description of Changes</b>   |
|------------|---|---|
| F699       | Trauma Informed Care                                    | <p>Several sections added to this tag with updated intent, definitions, guidance, references, examples, and deficiency categorization.</p> <p>While care and services must always be person-centered and honor residents' choice and preferences, what is different about providing care and services to a trauma survivor is that these residents may have lost the ability to trust caregivers and to feel safe in their environment. As a result, the principles of trauma-informed care must be addressed and applied purposefully.</p> |
| F700       | Bedrails  | <p>Adds a section regarding appropriate alternatives indicating that the facility must attempt alternatives prior to installing or using bed rails that are safe and appropriate for the resident.</p> <p>Adds guidance that facilities should have a process for determining whether beds (including mattresses and rails) are appropriate and safe for residents. Provided consideration examples and guidance to follow manufacturers' recommendations.</p>  |
| F712       | Physician Visits – Frequency/Timelines/Alternative NPPs | <p>Adds a new column for Admission Orders in Table 1: Authority for Non-Physician Practitioners to Perform Visits, Sign Orders and Sign Medicare Part A Certifications/Re-certifications when Permitted by the State.</p>   |

| <b>Tag</b> | <b>Tag Title</b>                             | <b>Description of Changes</b>  |
|------------|--|--|
| F725       | Sufficient Nursing Staff                     | <p>Expands on compliance with state staffing standards vs. compliance with federal staffing standards.</p> <p>Provides information about submitting staffing data through the CMS Payroll-Based Journal (PBJ) System.</p> <p>Separates the procedures section from the probe section and expanded probes to include absences of licensed nursing staff in a 24-hour period.</p> <p>Adds additional examples to deficiency categorization levels.</p> |
| F727       | RN 8 Hrs/7 Days/Week Full Time DON           | <p>Adds procedures to the guidance section. Also added a section for various probes and deficiency categorization.</p>   |
| F729       | Nurse Aide Registry Verification, Retraining | <p>Adds procedure to review a minimum of five nurse aide personnel folders in that case that concerns are identified with Nurse Aide Services at F725 and F726.</p>  |
| F732       | Posted Nurse Staffing Information            | <p>Adds a section for procedures and probes that surveyors must determine through information obtained by observations and verified records.</p>   |

| <b>Tag</b> | <b>Tag Title</b>                                     | <b>Description of Changes</b>  |
|------------|--|--|
| F740       | Behavioral Health Services                           | <p>Adds references to definitions of "mental disorder" and "substance use disorder (SUD)."</p> <p>Updates guidance related to SUD and Pre-admission Screening and Resident Review (PASRR).</p> <p>Expands the description of depression and added descriptions of schizophrenia and bipolar disorder.</p> <p>Adds an example of severity level 4 non-compliance under deficiency categorization.</p> <p>Adds several links to resources pertaining to behavioral health care and services.</p> |
| F741       | Sufficient/Competent Staff – Behavioral health Needs | <p>Expands definition of "mental disorder" and added definitions for "substance abuse disorder (SUD)," "trauma," and "post-traumatic stress disorder."</p> <p>Adds an example of severity level 2 non-compliance under deficiency categorization.</p>  |
| F755       | Pharmacy Services/Procedures/Pharmacist/Records      | <p>Expands on proper disposal of fentanyl transdermal patches.</p>   |

| <b>Tag</b> | <b>Tag Title</b>                                 | <b>Description of Changes</b>   |
|------------|--|---|
| F758       | Free from Unnecessary Psychotropic Meds/PRN Use  | <p>Revises definitions of “dose,” “duplicate therapy,” and “excessive dose.”</p> <p>Expands on the risks and proper use of psychotropic medications and concerns related to the inappropriate prescribing of these medications.</p> <p>Adds information regarding when dose reductions should occur.</p> <p>Adds clarification related to evaluation when a resident may have experienced psychosocial harm related to side effects of medications.</p>                               |
| F812       | Food Procurement, Store/Prepare/Serve – Sanitary | <p>Separates and expands the definitions of “food distribution” and “food service” that were previously grouped as one.</p> <p>Adds additional guidance regarding complications from foodborne illness to include general safety information.</p> <p>Adds information on the current standards of practice according to the Food Code of the FDA, covering the use of hairnets and glove use when cooking.</p> <p>Expands on proper food distribution and food service practices.</p> |

| <b>Tag</b> | <b>Tag Title</b>                             | <b>Description of Changes</b>   |
|------------|--|---|
| F847       | Entering into Binding Arbitration Agreements | <p>New tag with the intent to ensure that long-term care facilities inform residents or their representatives of the nature and implications of any proposed binding arbitration agreement, to inform their decision on whether to enter into such agreements.</p> <p>Adds definitions to include "arbitration," "binding arbitration agreement," "pre-dispute binding arbitration agreement," "post-dispute binding arbitration agreement," "dispute," and "judicial proceedings."</p> <p>Provides guidance and requirements regarding the use of arbitration to resolve disputes.</p> <p>Provides procedures and probes surveyors should follow to verify with the facility whether arbitration agreements are used to resolve disputes.</p> <p>Covers key elements of noncompliance.</p> |



| <b>Tag</b> | <b>Tag Title</b>                                       | <b>Description of Changes</b>   |
|------------|--|---|
| F848       | Arbitrator/Venue Selection and Retention of Agreements | <p>New tag with the intent to provide a neutral and fair arbitration process by ensuring both the resident or the resident's representative, and the facility agree on the selection of a neutral arbitrator, and that the venue is convenient to both parties.</p> <p>Adds definitions to include "arbitrator," "convenient venue," and neutral arbitrator."</p> <p>Provides guidance and requirements regarding reasonable efforts made to ensure that any arbitration agreement entered into with a resident, or representative, provides for the selection of an arbitrator who is impartial, unbiased, and without the appearance of a conflict of interest.</p> <p>Provides procedures and probes surveyors should follow to verify with the facility whether arbitration agreements are used to resolve disputes.</p> <p>Covers key elements of noncompliance.</p> |
| F851       | Payroll Based Journal                                  | <p>Provides additional guidance that surveyors can obtain PBJ data from Certification and Survey Provider Enhanced Reports (CASPER) to determine if the facility submitted the required staffing information based on payroll in a uniform format.</p>  |

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| F865 | QAPI Program/Plan, Disclosure/God Faith Attempt | <p>Adds definitions to include “governing body,” “indicators,” “quality assurance and performance improvement (QAPI),” “quality assurance (QA),” “performance improvement (PI).”</p> <p>Updates guidance describing the QAPI program and its purpose.</p> <p>Adds information regarding program and documentation indicating that each facility must develop, implement, and maintain an effective, comprehensive, data-driven QAPI program that focuses on indicators of the outcomes of care and quality of life.</p> <p>Adds information regarding program design and scope indicating that each facility must have an effective QAPI program that is ongoing, comprehensive, and capable of addressing the full range of care and services it provides.</p> <p>Adds information regarding governance and leadership where the facility’s governing body or executive leadership must ensure certain requirements.</p> <p>Provides examples of when disclosure may be necessary to determine compliance.</p> <p>Expands good faith attempts to include sanctions and how a facility can establish a good faith attempt to correct a deficiency.</p> <p>Provides clarification regarding investigative procedure and what surveyors should refer to when</p> |
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| <b>Tag</b> | <b>Tag Title</b>                        | <b>Description of Changes</b>   |
|------------|---|---|
|            |   | investigating concerns and citing non-compliance related to QAPI.           |
| F866       | QAPI/QAA Data Collection and Monitoring | Regulatory requirements §483.75(c) and §483.75(c)(1)-(4) relocated to F867. |

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| F867 | QAPI/QAA Improvement Activities | <p>Adds intention to ensure facilities obtain feedback, use data, and take action to conduct structured, systematic investigations and analysis of underlying causes or contributing factors of problems affecting facility-wide processes that impact quality of care, quality of life, and resident safety.</p> <p>Revises definitions of "adverse events," "incidence," "indicator," "medical error," "near miss," "prevalence," "systematic," and "systemic."</p> <p>Adds guidance indicating that the facility must develop and implement systems that ensure the care and services it delivers meet acceptable standards of quality in accordance with recognized standards of practice.</p> <p>Adds a section for program feedback indicating that each facility must establish and implement written policies and procedures for feedback.</p> <p>Adds a section for data collection systems and monitoring indicating that each facility must collect and monitor data reflecting its performance.</p> <p>Adds a section for performance indicators indicating that facilities must have policies and procedures in place for developing, monitoring, and evaluating performance indicators.</p> <p>Adds a section for systematic analysis and action indicating that</p> |
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| Tag | Tag Title | Description of Changes   |
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|     |           | <p>facilities are responsible for having systems in place intended to improve performance.</p> <p>Adds a section indicating that facilities must establish priorities for performance improvement activities that focus on resident safety, health outcomes, autonomy, choice, and quality of care, as well as high-risk, high-volume, and problem-prone areas.</p> <p>Adds a section for medical errors and adverse events indicating that facilities must track medical errors and adverse resident events.</p> <p>Adds a section for performance improvement projects (PMP) indicating facilities must conduct and disinfect performance improvement projects.</p> <p>Adds a section for quality assessment and assurance detailing certain requirements the facility's QAA is responsible for meeting.</p> <p>Provides more information on investigative procedure to include references for surveyors when investigating concerns and citing non-compliance related to QAPI.</p> <p>Adds several key elements of non-compliance in which a surveyor should cite deficient practice at F867.</p> |

| <b>Tag</b> | <b>Tag Title</b> | <b>Description of Changes</b>  |
|------------|------------------|--|
| F868       | QAA Committee    | <p>Adds definitions for “infection preventionist (IP)” and “regular basis.”</p> <p>Expands guidance to include the requirement that the IP must be a participant on the facility’s QAA committee and report on the IPCP and on incidents identified under the program on a regular basis.</p> <p>Provides information on QAA committee and the governing body detailing that the QAA committee is responsible for reporting its activities to the governing body.</p> <p>Provides more information on investigative procedure to include references for surveyors when investigating concerns and citing non-compliance related to QAPI.</p> |

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| F880 | Infection Prevention & Control | <p>Adds definitions for “c. difficile infection (CDI),” “legionellosis,” and “multidrug-resistant organisms (MDROs).”</p> <p>Expands infection control policies and procedures to include standard precautions, transmission-based precautions, and environmental cleaning and disinfection.</p> <p>Adds a section on water management detailing the risks of the bacterium Legionella and the importance of facilities being able to demonstrate their measures to minimize the risk of Legionella and other opportunistic pathogens in building water systems such as by having a documented water management program.</p> <p>Expands on the appropriate use of PPE and sterilization procedures.</p> <p>Adds information on three categories of transmission-based precautions: contact precautions, droplet precautions, and airborne precautions.</p> <p>Adds a section regarding MDRO colonization and infection and the situations and strategies used for residents infected or colonized with MDROs.</p> <p>Expands on droplet precautions in relation to resident placement.</p> <p>Includes information if a facility fails to clean and disinfect blood glucose meters.</p> |
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| <b>Tag</b> | <b>Tag Title</b>                            | <b>Description of Changes</b>   |
|------------|---|---|
|            |   | Adds an example of severity level 4 non-compliance under deficiency categorization.   |
| F881       | Antibiotic Stewardship Program              | Updates investigative procedures to use the Infection Prevention, Control & Immunizations Facility Task, along with the above interpretive guidance, when determining if the facility meets the requirements for, or when investigating concerns related to, the antibiotic stewardship program.  |
| F882       | Infection Preventionist Qualifications/Role | <p>Updates intent to ensure that the facility designates a qualified individual or individuals onsite, who is responsible for implementing programs and activities to prevent and control infections.</p> <p>Adds guidance indicating that facilities must designate one or more individuals as the infection preventionist (IP) who is responsible for assessing, developing, implementing, monitoring, and managing the IPCP. Also provides the content required.</p> <p>Provides requirements and qualifications the IP must meet and the required IP hours of work.</p> <p>Adds details on investigative procedures, key elements of compliance, and examples in deficiency categorization.</p> |



| <b>Tag</b> | <b>Tag Title</b>              | <b>Description of Changes</b>   |
|------------|-------------------------------|---|
| F895       | Compliance and Ethics Program | <p>Adds intent to ensure that facilities have in operation an effective compliance and ethics program that uses internal controls to more efficiently monitor adherence to applicable statutes, regulations, and program requirements to deter criminal, civil, and administrative violations under the Act and promote quality of care for nursing home residents.</p> <p>Adds definitions for “due care” and “entire staff.”</p> <p>Expands guidance to include background information and requirements for all facilities: compliance and ethics; written standards; policies and procedures, high-level personnel oversight; sufficient resources and authority; delegation of substantial discretionary authority; effectively communicating program standards, policies and procedures; reasonable steps to achieve program compliance; consistent enforcement through disciplinary mechanisms; response to detected violations; and annual review. Also provides additional requirements for operating organizations with five or more facilities.</p> <p>Adds investigative procedures to use probes to assist with investigating and determining compliance.</p> |

| <b>Tag</b> | <b>Tag Title</b>                | <b>Description of Changes</b>  |
|------------|---------------------------------|--|
| F919       | Resident Call System            | <p>Adds guidance that the call system must be accessible to residents while in bed, at each toilet, and bath or shower facility.</p> <p>Provides additional questions regarding the call system when interviewing residents about whether calls are being answered.</p>                                    |
| F940       | Training Requirements – General | <p>Adds intention that facilities are required to develop, implement, and maintain an effective training program for all staff.</p>  |
| F941       | Communication Training          | <p>Adds definitions for “communications,” “direct care staff,” and “effective communications.”</p> <p>Adds guidance to include recommended methods of effective communication and training resources.</p> <p>Adds probes to utilize in interviews if there is a concern about effective communication.</p> |
| F942       | Resident’s Rights Training      | <p>Adds intention to ensure all facility staff understand and foster the rights of every nursing home resident.</p> <p>Adds guidance that facilities must develop and implement an ongoing education program on all resident rights and facility responsibilities for caring of residents.</p>             |

| <b>Tag</b> | <b>Tag Title</b>               | <b>Description of Changes</b>   |
|------------|--------------------------------|---|
| F944       | QAPI Training                  | <p>Adds definition for “quality assurance and performance improvement (QAPI).”</p> <p>Adds guidance that facilities must conduct mandatory training for all staff on the facility’s QAPI program.</p> <p>Adds probes for surveyors to use when determining a deficiency.</p> <p>Potential tags for additional investigation: F865-F868.</p>                 |
| F945       | Infection Control Training     | <p>Adds guidance that all facilities must develop, implement, and permanently maintain an effective training program for all staff.</p> <p>Adds probes to utilize if there is a concern about infection prevention and control practices or healthcare-associated infections in the facility.</p> <p>Potential tags for additional investigation: F880.</p> |
| F946       | Compliance and Ethics Training | <p>Adds definition for the term “staff.”</p> <p>Adds guidance that the operating organization must provide a training program to the entire staff to effectively communicate the standards, policies, and procedures of the compliance and ethics program.</p>  |

| <b>Tag</b> | <b>Tag Title</b>                             | <b>Description of Changes</b>  |
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| F947       | Required In-Service Training for Nurse Aides | <p>Adds a definition for “performance reviews.”</p> <p>Updates guidance that Certified Nurse Aides’ performance reviews should address identified weaknesses.</p>  |
| F949       | Behavioral Health Training                   | <p>Adds guidance that all facilities must develop, implement, and maintain an effective training program for all staff, which includes training on behavioral health care and services that is appropriate and effective.</p> <p>Adds probes to utilize if there is concern that residents’ behavioral health needs are not being met.</p> |

## F Tags Without Substantial Changes

| <b>Tag</b> | <b>Tag Title</b>  |
|------------|---|
| F540       | Definitions   |
| F550       | Resident Rights   |
| F551       | Rights Exercised by Representative                      |
| F552       | Rights to be Informed/Make Treatment Decisions          |
| F553       | Right to Participate in Planning Care                   |
| F554       | Resident Self-Admin Meds-Clinically Appropriate         |
| F555       | Right to Choose/Be Informed of Attending Physician      |
| F558       | Reasonable Accommodations of Needs/Preferences          |
| F559       | Choose/Be Notified of Room/Roommate Change              |
| F560       | Right to Refuse Certain Transfers                       |
| F562       | Immediate Access to Resident                            |
| F564       | Inform of Visitation Rights/Equal Visitation Privileges |
| F565       | Resident/Family Group and Response                      |
| F566       | Right to Perform Facility Services of Refuse            |
| F567       | Protection/Management of Personal Funds                 |
| F568       | Accounting and Records of Personal Funds                |
| F569       | Notice and Conveyance of Personal Funds                 |
| F570       | Surety Bond – Security of Personal Funds                |
| F571       | Limitation on Charges to Personal Funds                 |

| <b>Tag</b> | <b>Tag Title</b>  |
|------------|---|
| F572       | Notice of Rights and Rules  |
| F573       | Right to Access/Purchase Copies of Records                        |
| F574       | Required Notices and Contact Information                          |
| F575       | Required Postings   |
| F576       | Right to Forms of Communication with Privacy                      |
| F577       | Right to Survey Results/Advocate Agency Info                      |
| F578       | Request/Refuse/Discontinue Treatment; Formulate Advance Directive |
| F579       | Porting/Notice of Medicare/Medicaid on Admission                  |
| F580       | Notify of Changes (Injury/Decline/Room, Etc)                      |
| F583       | Personal Privacy/Confidentiality of Records                       |
| F584       | Safe/Clean/Comfortable/Homelike Environment                       |
| F585       | Grievances  |
| F586       | Resident Contact with External Entities                           |
| F602       | Free from Misappropriation/Exploitation                           |
| F603       | Free from Involuntary Seclusion                                   |
| F604       | Right to be Free from Physical Restraints                         |
| F605       | Right to be Free from Chemical Restraints                         |
| F606       | Not Employ/Engage Staff with Adverse Actions                      |
| F610       | Investigate/Prevent/Correct Alleged Violation                     |
| F620       | Admissions Policy   |

| <b>Tag</b> | <b>Tag Title</b>                                     |
|------------|--|
| F621       | Equal Practices Regardless of Payment Source         |
| F624       | Preparation for Safe/Orderly Transfer/Discharge      |
| F625       | Notice of Bed Hold Policy Before/Upon Transfer       |
| F635       | Admission Physician Orders for Immediate Care        |
| F636       | Comprehensive Assessments and Timing                 |
| F637       | Comprehensive Assessment After Significant Change    |
| F638       | Quarterly Assessment At Least Every 3 Months         |
| F639       | Maintain 15 Months of Resident Assessments           |
| F640       | Encoding/Transmitting Resident Assessment            |
| F642       | Coordination/Certification of Assessment             |
| F644       | Coordination of PASARR and Assessments               |
| F645       | PASARR Screening for MD & ID                         |
| F646       | MD/ID Significant Change Notification                |
| F655       | Baseline Care Plan                                   |
| F657       | Care Plan Timing and Revision                        |
| F659       | Qualified Persons                                    |
| F660       | Discharge Planning Process                           |
| F661       | Discharge Summary                                    |
| F675       | Quality of Life                                      |
| F676       | Activities of Daily Living (ADLs)/Maintain Abilities |

| <b>Tag</b> | <b>Tag Title</b>                                   |
|------------|--|
| F677       | ADL Care Provided for Dependent Residents          |
| F678       | Cardio-Pulmonary Resuscitation (CPR)               |
| F679       | Activities Meet Interest/Needs of Each Resident    |
| F680       | Qualification of Activity Professional             |
| F684       | Quality of Care                                    |
| F685       | Treatment/Devices to Maintain Hearing/Vision       |
| F686       | Treatment/Services to Prevent/heal Pressure Ulcers |
| F688       | Increase/Prevent Decrease in ROM/Mobility          |
| F690       | Bowel/Bladder Incontinence, Catheter, UTI          |
| F691       | Colostomy, Urostomy, or Ileostomy Care             |
| F692       | Nutrition/Hydration Status Maintenance             |
| F693       | Tube Feeding Management/Restore Eating Skills      |
| F695       | Respiratory/Tracheostomy Care and Suctioning       |
| F696       | Protheses  |
| F698       | Dialysis   |
| F710       | Resident's Care Supervised by a Physician          |
| F711       | Physician Visits – Review Care/Notes/Order         |
| F713       | Physician for Emergency Care, Available 24 Hours   |
| F714       | Physician Delegation of Tasks to NPP               |
| F715       | Physician Delegation to Dietitian/Therapist        |



| <b>Tag</b> | <b>Tag Title</b>                                       |
|------------|--|
| F726       | Competent Nursing Staff                                |
| F728       | Facility Hiring and Use of Nurse                       |
| F730       | Nurse Aide Perform Review – 12HR/Year In-Service       |
| F731       | Waiver-Licensed Nurses 24HR/Day and RN Coverage        |
| F742       | Treatment/Service for Mental/Psychosocial Concerns     |
| F743       | No Pattern of Behavioral Difficulties Unless Avoidable |
| F744       | Treatment/Service for Dementia                         |
| F745       | Provision of Medically Related Social Services         |
| F756       | Drug Regimen Review, Report Irregular, Act On          |
| F757       | Drug Regimen is Free from Unnecessary Drugs            |
| F759       | Free of Medication Error Rates of 5% or More           |
| F760       | Residents are Free of Significant Med Errors           |
| F761       | Label/Store Drugs & Biologicals                        |
| F770       | Laboratory Services                                    |
| F771       | Blood Bank and Transfusion Services                    |
| F772       | Lab Services Not Provided On-Site                      |
| F773       | Lab Services Physician Order/Notify of Results         |
| F774       | Assist with Transport Arrangements to Lab Services     |
| F775       | Lab Reports in Record – Lab Name/Address               |
| F776       | Radiology/Other Diagnostic Services                    |

| <b>Tag</b> | <b>Tag Title</b>                                     |
|------------|--|
| F777       | Radiology/Diagnostic Services Ordered/Notify Results |
| F778       | Assist with Transport Arrangements to Radiology      |
| F779       | X-Ray/Diagnostic Report in Record – Sign/Dated       |
| F790       | Routine/Emergency Dental Services in SNFs            |
| F791       | Routine/Emergency Dental Services in NFs             |
| F800       | Provided Diet Meets Needs of Each Resident           |
| F801       | Qualified Dietary Staff                              |
| F802       | Sufficient Dietary Support Personnel                 |
| F803       | Menus Meet Resident Needs/Prep in Advance/Followed   |
| F804       | Nutritive value/Appearance, Palatable/Prefer Temp    |
| F805       | Food in Form to Meet Individual Needs                |
| F806       | Resident Allergies, Preferences and Substitutes      |
| F807       | Drinks Available to Meet Needs/Preferences/Hydration |
| F808       | Therapeutic Diet Prescribed by Physician             |
| F809       | Frequency of Meals/Snacks at Bedtime                 |
| F810       | Assistive Devices – Eating Equipment/Utensils        |
| F811       | Feeding Assistance – Training/Supervision/Resident   |
| F813       | Personal Food Policy                                 |
| F814       | Dispose Garbage & and Refuse Properly                |
| F825       | Provide/Obtain Specialized Rehab Services            |

| <b>Tag</b> | <b>Tag Title</b>                                     |
|------------|--|
| F826       | Rehab Services – Physician Order/Qualified Persons   |
| F835       | Administration                                       |
| F836       | License/Comply with Federal/State/Local Law/Prof Std |
| F837       | Governing Body                                       |
| F838       | Facility Assessment                                  |
| F839       | Staff Qualifications                                 |
| F840       | Use of Outside Resources                             |
| F841       | Responsibilities of Medical Director                 |
| F842       | Resident Records – Identifiable Information          |
| F843       | Transfer Agreement                                   |
| F844       | Disclosure of Ownership Requirements                 |
| F845       | Facility Closure – Administrator                     |
| F846       | Facility Closure                                     |
| F849       | Hospice Services                                     |
| F850       | Qualifications of Social Worker >120 Beds            |
| F883       | Influenza and Pneumococcal Immunizations             |
| F906       | Emergency Electrical Power System                    |
| F907       | Space and Equipment                                  |
| F908       | Essential Equipment, Safe Operating Condition        |
| F909       | Resident Bed   |

| <b>Tag</b> | <b>Tag Title</b>                                 |
|------------|--|
| F910       | Resident Room                                    |
| F911       | Bedroom Number of Residents                      |
| F912       | Bedroom Measure at Least 80 Square Feet/Resident |
| F913       | Bedrooms Have Direct Access to Exit Corridor     |
| F914       | Bedrooms Assure Full Visual Privacy              |
| F915       | Resident Room Window                             |
| F916       | Resident Room Floor Above Grade                  |
| F917       | Resident Room Bed/Furniture/Closet               |
| F918       | Bedrooms Equipped/Near Lavatory/Toilet           |
| F920       | Requirements for Dining and Activity Rooms       |
| F921       | Safe/Functional/Sanitary/Comfortable Environment |
| F922       | Procedures to Ensure Water Availability          |
| F923       | Ventilation                                      |
| F924       | Corridors Have Firmly Secured Handrails          |
| F925       | Maintains Effective Pest Control Program         |
| F926       | Smoking Policies                                 |
| F943       | Abuse, Neglect, and Exploitation Training        |
| F948       | Training for Feeding Assistants                  |