



TEXAS
Health and Human
Services

**Texas Department of State
Health Services**

**Timothy Stevenson, DVM, PhD, DACVM, DACVPM-Epidemiology
Associate Commissioner, Consumer Protection Division**



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Texas Department of State
Health Services

Perinatal Advisory Council Meeting

Department of State Health Services
Designation Unit

Celia Cantu

Rebecca Wright

Dorothy Courage, BSN, MSN-Ed, RN

Dawn Thibodeau Salazar, BSN, RN

Debbie Lightfoot, BSN, RN

Elizabeth Stevenson, BSN, RN, Manager

Jorie Klein, MSN, MHA, BSN, RN, Director

DSHS Designation Update July 27th, 2022



EMS/Trauma Systems Update

Jorie Klein, MSN, MHA, BSN, RN, Director



DSHS EMS/Trauma Systems Update

Priorities:

- Maternal Rule Amendments – Formal Public Comment
- Neonatal Rule Amendments – OOG

Center of Excellence for Fetal Diagnosis and Therapy

Focus on Consistency in Survey Process

Department Performance – Survey Application Turn-Around

Goal – Designation Decision and Completion within 30 days

Consistency In Survey Process

- Survey Guidelines
- Hospital Process – Designed to Assist Facilities
 - Designation Application
 - Designation Application Fees
 - Required Forms and Documents
 - Completion of a Pre-Review Questionnaire
 - Site Survey Preparation
 - Data Management for Survey

Survey Guidelines

- Approved Department Survey Organization
 - Application
 - Survey Credentialing and Expectations
 - Conflict of Interest
 - Annual Survey Expectations
 - Surveyor Performance / Feedback
 - Surveyor Medical Record Reviews
 - Survey Report

Site Survey

- Consultations
- Designation Surveys
- Focused Reviews within 12-18 Months
- Re-Survey 12-18 Months

- Site Survey Team Compositions
 - Maternal
 - Neonatal
 - ACS Pediatric Verification vs Pediatric Surgeon

Site Surveys

- Virtual
- Hybrid
- On-Site

Site Survey Schedule

- Scheduling – Survey Organization
- Open Conference
- Review Most Recent Survey Findings & Improvements or Program Enhancements
- Hospital Tour / Review
- Interviews
- Document Review
- Medical Record Reviews
- Survey Team Discussion (Closed Meeting)
- Day Two
- Closed Meeting with Program
- Delivering Findings
- Closing Remarks

Site Survey Process

- Medical Record Reviews
 - 10 Medical Record Reviews / Surveyor
 - Defined Types of Cases
 - Phases of Care
 - Standard of Care Provided
 - Program Guidelines Followed
 - Designation Requirements Met
 - QAPI Issues Identified by Hospital
 - QAPI Issues Identified by Reviewer Not Identified by Hospital
 - QAPI Process Effective in Creating Change – Loop Closure
 - Summary
 - Standard of Care Provided
 - QAPI Process Effective
 - Designation Requirements Met
 - Recommendations

Survey Process

- Complete Requirements Met Checklist
- Lead Reviewer Defines Assignments

Post Survey Expectations

- Designation Requirements Met Checklist Completed
 - Matches Information in Medical Records
 - Completed Medical Record Reviews
- Survey Report back to the facility in 30 days
- Communication with the Department if 3 or More Requirements Not Met
 - Corrective Action Plan with Timeline

Post Survey

- Contingent Survey – 3 Requirements Not Met
 - Corrective Action Plan
 - May Require a Focused Review in 12 – 18 Months
- Contingent Probationary Survey – 4 or More Requirements Not Met
 - Defined Corrective Action Plan
 - May Require a Re-Survey within 12 – 18 Months
- Downgrade / Denial of Designation
 - Defined by Department
- Feedback / Follow-up with Survey Organization
- Appeal Process

Goal of Survey Guidelines

- Consistency In Survey Process
- Regardless of Survey Organization
- Fair, Efficient Process Across the Board

- Written Guidelines
- Structured Process
- Legal Review and Approval

Next Step

- Define Types of Medical Records for Review
 - Maternal
 - Neonatal
- Training – September 15, 2022
- Go Live – January 1, 2023

Current Challenges

- Diversion
 - Staffing Challenges
- Direction –
 - OB Hemorrhage vs Transfusion
- Blood Products

Designation Update

Elizabeth Stevenson, BSN, RN, Designation Programs Manager



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DSHS Designation Update

New Designation Staff

1. Perinatal Designation Coordinator

Dorothy Courage, RN

17 years neonatal nursing;

11 years emergency nursing;

3 years inpatient pediatric

2. Designation Program Specialists

Rebecca Wright

Bachelor of Science – Psychology/Sociology

Previous experience with DSHS

Celia Cantu

Experience Working in Healthcare

Previous experience with HHSC

DSHS Perinatal Rules Update

The draft Hospital Level of Care Designations for Maternal Care rules have been published in the Texas Register.

<https://www.sos.state.tx.us/texreg/archive/July82022/Proposed%20Rules/25.HEALTH%20SERVICES.html#46>

- Address HB 1164 and SB 749
- Written comments on the proposal may be emailed to DSHS.EMS-Trauma@dshs.texas.gov.
- To be considered, comments must be submitted no later than 31 days after July 8th.
- Comments must be:
 - emailed before midnight on August 8th;
 - hand-delivered before 5:00 p.m. on August 8th; or
 - postmarked or shipped before August 8th.
- When emailing comments, please indicate "Comments on Proposed Rule 21R143" in the subject line.
- Comments are reviewed by the department and a workgroup of PAC members.

DSHS Perinatal Rules Update

Maternal Proposed Rules Timeline:

- August 18, 2022 – Presented to HHSC Executive Council
- October 14, 2022 – Adopted rules published in Texas Register
- October 23, 2022 – Rules effective. Maternal designated facilities must implement the PASD requirements.
- January 1, 2023 – Maternal designated facilities surveyed after this date will have to provide evidence of meeting the PASD requirements and additional requirements in the adopted rules.

DSHS Perinatal Rules Update

Maternal Evidence of Requirements Met document reflects the published rules.

- This companion document provides guidance for programs, surveyors, and stakeholders on the evidence required to meet the rules.
- It remains the facility's responsibility to determine how they are meeting the requirements and to provide the evidence for evaluation.
- The additional documented guidance will promote consistency across the survey process.

DSHS Perinatal Rules Update

Neonatal Proposed Rules

- In review by the Office of the Governor
- Delay in the current rule adoption timeline
- Published in the Texas Register for Formal Public Comment in the next 4-6 weeks.
- Follow the same submission and review process as the Maternal rules.
- Presentation at the November HHSC Executive Council Meeting remains our goal.

DSHS Process Update

- Any facility experiencing a change in designation leadership needs to notify the department.
- Includes the Chief Executive Officer (CEO), Medical Director, or Program Manager
- Designation Websites have a link to a fillable form to update contact information:

[*NEW* DSHS Designation Program: Facility Update Form](#)

Use our [online verification system](#) to verify your current contact information.

- Access the ‘online verification system’ to confirm current information.

Designation Unit Performance

Designated Neonatal Facilities	July 2022	April 2022	January 2022	September 2021
Total	227	227	227	227
Level IV	22	22	22	22
Level III	73	69	66	60
Level II	50	54	57	61
Level I	82	82	82	84

Designation Unit Performance

Calendar Year	2022	2022	2021
Reporting Quarter	2nd QTR	1st QTR	4th QTR
Total Neonatal Designation Application Packets Received	3	7	16
Level I	1	1	0
Level II	0	1	6
Level III	2	5	10
Level IV	0	0	0
Initial Designations	1	0	7
Level I	0	0	1
Level II	0	1	2
Level III	1	1	4
Level IV	0	0	0
Re-Designations	2	5	32
Level I	0	1	4
Level II	0	0	16
Level III	2	4	10
Level IV	0	0	0
Total Designations Completed	2	5	39
Facilities Designated at a Higher Level	1	2	6
Facilities Designated at a Lower Level	0	0	0 (CHOW)
Contingent Designations, Waivers, Exceptions, Appeals, Denials	0	0	0
Designation Completed within 30 days	1	5	1

Designation Unit Performance

Designated Maternal Facilities	July 2022	April 2022	January 2022	September 2021
Total	222	222	222	223
Level IV	32	32	32	32
Level III	44	44	44	43
Level II	93	93	93	94
Level I	53	53	53	54

Designation Unit Performance

Calendar Year	2022	2022	2021
Reporting Quarter	2nd QTR	1st QTR	4th QTR
Total Maternal Designation Application Packets Received	5	4	4
Level I		0	0
Level II	2	0	1
Level III	2	1	1
Level IV	1	3	2
Initial Designations	0	0	0
Level I	0	0	0
Level II	0	0	0
Level III	0	0	0
Level IV	0	0	0
Re-Designations	1	5	2
Level I	0	0	0
Level II	0	0	1
Level III	1	0	1
Level IV	0	5	0
Total Designations Completed	1	5	2
Facilities Designated at a Higher Level	0	0	0
Facilities Designated at a Lower Level	0	0	0
Contingent Designations, Waivers, Exceptions, Appeals, Denials	0	0	0
Designation Completed within 30 days	1	2	2



Thank you!

DSHS EMS/Trauma Systems Section

Designation Programs Unit