Effective: December 1, 2017 Policy No. CS: 32.0 (T)

Mid-Certification Assessment for Children

Purpose

To ensure the quality and accessibility of health care and nutrition services for children are not diminished for participants certified for longer than six months.

Authority

7 CFR Part 246.7

Policy

Local agencies (LAs) shall ensure children certified for a year shall be offered a Mid-Certification Assessment, which includes a nutrition assessment (review of anthropometrics, blood work, and a brief update of the health and dietary assessment), immunization screening, nutrition education and referrals to other health and social services, within the certification period. Food benefits shall not be denied to parents/guardians who refuse to obtain these services for their children.

Procedures

1. Children certified for a year shall be offered a mid-certification assessment between the 4th and 10th months from the "effective certification/sub-certification/recertification date." When calculating this time period, count the month of certification as the first month. Example: For a certification done on March 10th, the Mid-Certification Assessment shall be offered and completed any time from June 1st through December 31st. Note: In the MIS, the "Mid Cert" field is accessible at the beginning of the fourth month and a reminder will appear on the appointment, certification and issuance screens.

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- A. A Mid-Certification Assessment shall be offered and documented in the MIS.
 - 1. The Mid-Certification Assessment is not required for children turning five years old in less than six months from their most recent certification month. Ex: Child is certified any day in June. If his 5th birthday is any day in December or later, the mid-cert shall be done/offered.
 - 2. If out-of-state verification of certification (VOC) does not identify risk codes, i.e. risk code 201, and contacting the participant's previous clinic/state is unsuccessful, staff shall perform the blood test.
- B. Nutrition Assessment shall include:
 - 1. Length/height and weight: plot on the appropriate growth chart,
 - 2. Hemoglobin/hematocrit:
 - a. required for all children who were certified or received a midcertification assessment between the ages of 12 to 23 months
 - b. required for children 24 months up to 5 years if the blood test value at the most recent certification was below the normal range hemoglobin: 11.1 g/dL for children 2 to less than 5 years of age; hematocrit: 33% for children 2 to less than 5 years of age).
 - 3. Risk code 501, Regression, may be excluded from being assigned at subsequent certification when values pertaining to height/length, weight and/or blood work improve at the Mid-Cert assessment. The table below provides guidance for these situations. For example, risk code 201, Low Hemoglobin/Low Hematocrit, was assigned at certification. At the Mid-Cert assessment, the blood value is within the normal range. At sub-cert, the blood value is within the normal range again, so risk code 501, Regression, is not assigned. When a value is within normal levels at two screenings, risk code 501 will not be assigned.

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Certification	Mid-Cert	Sub Cert	Assign 501
Risk code assigned,	Value improved	Value good, does	No
e.g. 201, low	(does NOT meet	NOT meet definition,	
Hgb/Hct	definition), e.g.	e.g. Hct/Hgb	
	Hct/Hgb normal value	normal value	
Risk code assigned,	Value still meets	Value improved and	Yes
e.g. 201, low	definition of risk	does NOT meet	
Hgb/Hct	code, e.g. 201, low	definition, e.g.	
	Hgb/Hct	Hct/Hgb normal	
		value	
Risk code not	Value meets	Value meets	No, assign
assigned, e.g.	definition of risk	definition of risk	appropriate risk
Hct/Hgb normal	code, e.g. 201, low	code, e.g. 201, low	code, e.g. 201, low
value	Hgb/Hct	Hgb/Hct	Hgb/Hct
Risk code not	Value good, doesn't	Value meets	No, assign
assigned, e.g.	meet definition of	definition of risk	appropriate risk
Hct/Hgb normal	risk code, e.g.	code, e.g. 201, low	code, e.g. 201, low
value	Hct/Hgb normal	Hgb/Hct	Hgb/Hct
	value		

- 4. Health and dietary assessment include any **major** changes in the child's health status, dietary habits or physical activity since the previous certification.
- C. Immunization screening document for children less than 2 years of age.
- D. Nutrition education (NE) and referrals shall be offered and documented in the MIS.
- E. Medical information (including hematocrit/hemoglobin, height, and weight) shall be accepted from a health care provider if the values are within 60 days of the Mid-Certification Assessment.
- II. A parent/guardian may refuse a Mid-Certification Assessment.
 - A. If the parent/guardian refuses the Mid-Certification Assessment, staff shall document in the MIS.

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- B. LAs will be considered out of compliance if a child has reached the 10th month after certification and a mid-certification assessment has not been performed, documented and/or entered into the MIS.
- C. The Certifying Authority/WIC Certification Specialist shall complete the Mid-Certification Assessment. Other staff may only enter the height, weight and blood value in the MIS.
- D. The Certifying Authority/WIC Certification Specialist may perform the Mid-Certification Assessment and issue benefits. Separation of duties is not violated due to the Mid-Certification Assessment is an evaluation and not program eligibility.

