TITLE 26 HEALTH AND HUMAN SERVICES

PART 1 HEALTH AND HUMAN SERVICES COMMISSION

CHAPTER 748 MINIMUM STANDARDS FOR GENERAL RESIDENTIAL OPERATIONS

SUBCHAPTER C ORGANIZATION AND ADMINISTRATION

DIVISION 2 OPERATIONAL RESPONSIBILITIES AND NOTIFICATIONS

§748.151. What are my operational responsibilities?

While you are operating, you must:

- (1) Have a designated full-time child-care administrator who meets the minimum qualifications of §748.531 of this chapter (relating to What qualifications must a child-care administrator meet?);
 - (2) Operate according to your approved plans, policies, and procedures;
 - (3) Maintain current, true, accurate, and complete records;
 - (4) Allow us to inspect your operation during its hours of operation;
- (5) Not offer unrelated types of services that conflict or interfere with the best interests of a child in care, a caregiver's responsibilities, or operation space. If you offer more than one type of service, you must determine and document that no conflict exists;
 - (6) Complying with the liability insurance requirements in this division; and
- (7) Prepare the annual budget and control expenditures and ensure compliance with Division 3 of this subchapter (relating to General Fiscal Requirements).

§748.158. What are the liability insurance requirements?

Unless you have an acceptable reason not to have the insurance, you must:

- (1) Maintain liability insurance coverage in the amount of \$300,000 for each occurrence of negligence that covers injury to a child while the child is on your premises or in your care; and
- (2) Provide proof of coverage to Licensing each year by the anniversary date of the issuance of your permit.

§748.159. What are acceptable reasons not to have liability insurance?

- (a) You do not have to have liability insurance that meets the requirements of §748.158 of this division (relating to What are the liability insurance requirements?) if you cannot carry insurance because:
- (1) Of financial reasons;

- (2) You are unable to locate an underwriter who is willing to issue a policy to the operation; or
- (3) You have already exhausted the limits of a policy that met the requirements.
- (b) If you cannot carry liability insurance or stop carrying the insurance because of a reason listed in subsection (a) of this section, you must send written notification to Licensing by the anniversary date of the issuance of your permit. Your notification must include the reason that you cannot carry the insurance.
- §748.160. When must I notify parents that I do not carry liability insurance?
- (a) If you do not carry liability insurance that meets the requirements of §748.158 of this division (relating to What are the liability insurance requirements?), then you must notify the parent of each child in your care in writing that you do not carry liability insurance before you admit the child into your care.
- (b) If you previously carried the liability insurance and subsequently stop carrying the liability insurance, then you must notify the parent of each child in your care in writing that you do not carry the insurance within 14 days after you stop carrying it.
- (c) You may use the Form 2962, *Verification of Liability Insurance*, located on the Licensing provider website, to notify parents. Regardless of whether you use this form, you must be able to demonstrate that you provided written notice to the parents of each child in your care.

Helpful Information

It is important that parents both understand and acknowledge whether your operation carries liability insurance. Possible means of communicating this requirement include:

- Using a form specific to liability insurance that requires a parent signature that you maintain in the child's file;
- Including a statement or addendum about liability insurance in your operational policies or parent handbook, and maintain in the child's file a document with a parent signature or initials specifically acknowledging the liability insurance information; or
- Maintaining a copy of any electronic communication sent to a parent regarding liability insurance, including the date and address to which the communication was sent.

TITLE 26 HEALTH AND HUMAN SERVICES

PART 1 HEALTH AND HUMAN SERVICES COMMISSION

CHAPTER 748 MINIMUM STANDARDS FOR GENERAL RESIDENTIAL OPERATIONS

SUBCHAPTER D REPORTS AND RECORD KEEPING

DIVISION 1 REPORTING SERIOUS INCIDENTS AND OTHER OCCURRENCES

§748.303. When must I report and document a serious incident?

(a) You must report and document the following types of serious incidents involving a child in your care. The reports must be made to the following entities, and the reporting and documenting must be within the specified time frames:

Figure: 26 TAC §748.303(a)

Serious Incident	(i)To Licensing?	(i) To Parents?	(i) To Law enforcement?
	(ii) If so, when?	(ii) If so, when?	(ii) If so, when?
(1) A child dies while in your care.	(A)(i) YES	(B)(i) YES	(C)(i) YES
	(A)(ii) Within 2 hours after the child's death.	(B)(ii) Within 2 hours after the child's death.	(C)(ii) Immediately, but no later than 1 hour after the child's death.
(2) A substantial physical injury or	(A)(i) YES	(B)(i) YES	(C)(i) NO
critical illness that a reasonable person would conclude needs treatment by a medical professional or hospitalization.	(A)(ii) Report as soon as possible, but no later than 24 hours after the incident or occurrence.	(B)(ii) Immediately after ensuring the safety of the child.	(C)(ii) Not Applicable.
(3) Allegations of abuse, neglect, or	(A)(i) YES	(B)(i) YES	(C)(i) NO
exploitation of a child; or any incident where there are indications that a child in care may have been abused, neglected, or exploited.	(A)(ii) As soon as you become aware of it.	(B)(ii) Immediately after ensuring the safety of the child.	(C)(ii) Not applicable.

Serious Incident	(i)To Licensing? (ii) If so, when?	(i) To Parents? (ii) If so, when?	(i) To Law enforcement?
			(ii) If so, when?
(4) Physical abuse committed by a child	(A)(i) YES	(B)(i) YES	(C)(i) NO
against another child. For the purpose of this subsection, physical abuse occurs when there is substantial physical injury, excluding any accident; or failure to make a reasonable effort to prevent an action by another person that results in substantial physical injury to a child.	(A)(ii) As soon as you become aware of it.	(B)(ii) As soon as you become aware of it.	(C)(ii) Not applicable.
(5) Sexual abuse	(A)(i) YES	(B)(i) YES	(C)(i) NO
committed by a child against another child. For the purpose of this subsection, sexual abuse is: conduct harmful to a child's mental, emotional or physical welfare, including nonconsensual sexual activity between children of any age, and consensual sexual activity between children with more than 24 months difference in age or when there is a significant difference in the developmental	(A)(ii) As soon as you become aware of it.	(B)(ii) As soon as you become aware of it	(C)(ii) Not applicable.

Serious Incident	(i)To Licensing?	(i) To Parents?	(i) To Law enforcement?
	(ii) If so, when?	(ii) If so, when?	(ii) If so, when?
level of the children; or failure to make a reasonable effort to prevent sexual conduct harmful to a child.			
(6) A child is indicted, charged, or arrested for a crime, not including being issued a ticket at school by law enforcement or any other citation that does not result in the child being detained; or when law enforcement responds to an alleged incident at the operation.	(A)(ii) YES (A)(ii) As soon as possible, but no later than 24 hours after you become aware of it.	(B)(ii) YES (B)(ii) As soon as you become aware of it.	(C)(i) NO (C)(ii) Not applicable.
(7) The unauthorized absence of a child who is developmentally or chronologically under 6 years old.	(A)(i) YES (A)(ii) Within 2 hours of notifying law enforcement.	(B)(i) YES (B)(ii) Within 2 hours of notifying law enforcement.	(C)(i) YES (C)(ii) Immediately upon determining the child is not on the premises and the child is still missing.
(8) The unauthorized absence of a child who is developmentally or chronologically 6 to 12 years old.	(A)(i) YES (A)(ii) Within 2 hours of notifying law enforcement, if the child is still missing.	(B)(i) YES (B)(ii) Within 2 hours of determining the child is not on the premises, if the child is still missing.	(C)(i) YES (C)(ii) Within 2 hours of determining the child is not on the premises, if the child is still missing.

Serious Incident	(i)To Licensing?	(i) To Parents?	(i) To Law
	(") IC 2	(") IC 2	enforcement?
	(ii) If so, when?	(ii) If so, when?	(ii) If so, when?
(9) The unauthorized	(A)(i) YES	(B)(i) YES	(C)(i) YES
absence of a child	(,,)(,)	(5)(.) 5	(3)(1)
who is 13 years old or	(A)(ii) No later than	(B)(ii) No later than	(C)(ii) No later than
older.	6 hours from when	6 hours from when	6 hours from when
	the child's absence	the child's absence	the child's absence
	is discovered and	is discovered and	is discovered and
	the child is still missing. However,	the child is still missing. However,	the child is still missing. However,
	you must report the	you must report the	you must report
	child's absence	child's absence	the child's absence
	immediately if the	immediately if the	immediately if the
	child has previously	child has previously	child has previously
	been alleged or	been alleged or	been alleged or
	determined to be a	determined to be a	determined to be a
	trafficking victim, or	trafficking victim, or	trafficking victim,
	you believe the child has been abducted	you believe the child has been abducted	or you believe the child has been
	or has no intention	or has no intention	abducted or has no
	of returning to the	of returning to the	intention of
	operation.	operation.	returning to the
			operation.
(10) A child in your	(A)(i) YES, unless	(B)(i) YES, if their	(C)(i) NO
care contracts a	the information is	child has contracted	(C)(::) Not
communicable disease	confidential.	the communicable	(C)(ii) Not applicable.
that the law requires you to report to the	(A)(ii) As soon as	disease or has been exposed to it.	аррисавіе.
Department of State	possible, but no	exposed to it.	
Health Services	later than 24 hours	(B)(ii) As soon as	
(DSHS) as specified in	after you become	possible, but no	
25 TAC Chapter 97,	aware of the	later than 24 hours	
Subchapter A,	communicable	after you become	
(relating to Control of	disease.	aware of the	
Communicable		communicable disease.	
Diseases). (11) A suicide attempt	(A)(i) YES	(B)(i) YES	(C)(i) NO
by a child.	(^)(1) 123		
2, 3 33.			(C)(ii) Not
			applicable.

Serious Incident	(i)To Licensing?	(i) To Parents?	(i) To Law
			enforcement?
	(ii) If so, when?	(ii) If so, when?	
			(ii) If so, when?
	(A)(ii) As soon as	(B)(ii) As soon as	
	you become aware	you become aware	
	of the incident.	of the incident.	

- (b) If there is a medically pertinent incident, such as a seizure, that does not rise to the level of a serious incident, you do not have to report the incident but you must document the incident in the same manner as for a serious incident, as described in §748.311 of this division (relating to How must I document a serious incident?).
- (c) You must document an unauthorized absence that does not meet the reporting time requirements defined in subsection (a)(7) (9) of this section within 24 hours after you become aware of the unauthorized absence. You must document the absence:
- (1) In the same manner as for a serious incident, as described in §748.311 of this division; and
- (2) Complete an addendum to the serious incident report to finalize the documentation requirements, if the child returns to an operation after 24 hours.
- (d) If there is a serious incident involving an adult resident, you do not have to report the incident to Licensing, but you must document the incident in the same manner as a serious incident. You do have to report the incident to:
 - (1) Law enforcement, as outlined in the chart above;
- (2) The parents, if the adult resident is not capable of making decisions about the resident's own care; and
- (3) Adult Protective Services through the Texas Abuse and Neglect Hotline if there is reason to believe the adult resident has been abused, neglected or exploited.
- (e) You must report and document the following types of serious incidents involving your operation, an employee, a professional level service provider, contract staff, or a volunteer to the following entities within the specified time frame:

Figure: 26 TAC §748.303(e)

Serious Incident	(i) To Licensing?	(i) To Parents?
	(ii) If so, when?	(ii) If so, when?
(1) Any incident that renders all or part of your operation unsafe or unsanitary	(A)(i) YES	(B)(i) YES
for a child, such as a fire or a flood.	(A)(ii) As soon as possible, but no later than 24 hours after	(B)(ii) As soon as possible, but no later than 24 hours after
	the incident.	the incident.
(2) A disaster or emergency that requires your operation to close.	(A)(i) YES	(B)(i) YES
	(A)(ii) As soon as possible, but no later than 24 hours after the incident.	(B)(ii) As soon as possible, but no later than 24 hours after the incident.
(3) An adult who has contact with a child in care contracts a communicable disease noted in 25 TAC 97, Subchapter A, (relating to Control of Communicable Diseases).	(A)(i) YES, unless the information is confidential.(A)(ii) As soon as possible, but no later than 24 hours after you become aware of	(B)(i) YES, if their child has contracted the communicable disease or has been exposed to it. (B)(ii) As soon as possible, but no later
	the communicable disease.	than 24 hours after you become aware of the communicable disease.
(4) An allegation that a person under the auspices of your operation who	(A)(i) YES	(B)(i) NO
directly cares for or has access to a child in the operation has abused drugs within the past seven days.	(A)(ii) Within 24 hours after learning of the allegation.	(B)(ii) Not applicable.
(5) An investigation of abuse or neglect by an entity (other than Licensing) of	(A)(i) YES	(B)(i) NO
an employee, professional level service provider, contract staff, volunteer, or other adult at the operation.	(A)(ii) As soon as possible, but no later than 24 hours after you become aware of the investigation.	(B)(ii) Not applicable.
(6) An arrest; indictment; a county or district attorney accepts an	(A)(i) YES	(B)(i) NO
"Information" regarding an official		(B)(ii) Not applicable.

Serious Incident	(i) To Licensing?	(i) To Parents?
	(ii) If so, when?	(ii) If so, when?
complaint against an employee, professional level service provider, contract staff, volunteer, or other adult	(A)(ii) As soon as possible, but no later than 24 hours after	
at the operation alleging commission of any crime as provided in §745.661 of	you become aware of the situation.	
this title (relating to What types of criminal convictions may affect a	the situation.	
subject's ability to be present at an		
operation?); or when law enforcement responds to an alleged incident to the		
operation.		

§748.317. What are the notification requirements when Licensing finds my operation deficient in a standard related to safe sleeping or the abuse, neglect, or exploitation of a child?

- (a) You must notify the parent of each child residing at your child-care operation of a deficiency in:
- (1) A safe sleeping standard noted in subsection (b) of this section; or
- (2) The abuse, neglect, or exploitation standard in §748.1101(b)(1)(B) of this chapter (relating to What rights does a child in care have?).
- (b) The following are safe sleeping standards requiring notification:
- (1) §748.1751(a)(1) of this chapter (relating to What specific safety requirements must my cribs meet?);
- (2) §748.1753(a)(2)(A) of this chapter (relating to Are play yards allowed?);
- (3) §748.1757(a)(5) and (b) of this chapter (relating to What types of equipment are not allowed for use with infants?);
- (4) §748.1763 of this chapter (relating to What are the specific sleeping requirements for infants?);
- (5) §748.1765 of this chapter (relating to May I allow infants to sleep in a restrictive device?); and
- (6) §748.1767 of this chapter (relating to May I swaddle an infant to help the infant sleep?).

§748.319. How must I notify parents of a safe sleeping deficiency or an abuse, neglect, or exploitation deficiency?

- (a) Within five days after you receive notification of a deficiency described in §748.317 of this division (relating to What are the notification requirements when Licensing finds my operation deficient in a standard related to safe sleeping or the abuse, neglect, or exploitation of a child in care?), you must notify the parents of each child residing at your child-care operation at the time of notification, including a child who may not have been in care on the day of the actual incident.
- (b) If the deficiency is for a safe sleeping standard, you must notify the parents using Form 2970, *Notification of Safe Sleeping Deficiency*, located on the Licensing provider website.
- (c) If the deficiency is for the standard related to the abuse, neglect, or exploitation of a child in care, you must notify the parents using Form 7266, *Notification of Abuse/Neglect/Exploitation Deficiency*, located on the Licensing provider website.
- (d) You must maintain and make available for our review, upon request, proof that you have notified parents in writing of deficiencies in safe sleeping and abuse, neglect, or exploitation, as required by subsection (a) of this section.

Helpful Information

- Regarding paragraph (a), "parent" refers to a person who has legal responsibility for a child, as defined in 748.43(43) of this chapter (relating to What do certain words and terms mean in this chapter?). For example, if you are caring for children in the conservatorship of DFPS, you must notify each child's DFPS caseworker.
- Regarding paragraph (d), you may maintain the documentation in a manner that is consistent with your policies for record keeping, as long as you can verify that you have notified each parent as required. Possible ways to maintain proof of notification include:
 - Keeping a copy of the notification in each child's individual file;
 - Keeping a single file of all notifications, with a list of the parents whom you notified attached to each notification form; or
 - Maintaining a copy of any notification sent to a parent electronically, including the date and address to which you sent the notification.

TITLE 26 HEALTH AND HUMAN SERVICES

PART 1 HEALTH AND HUMAN SERVICES COMMISSION

CHAPTER 748 MINIMUM STANDARDS FOR GENERAL RESIDENTIAL OPERATIONS

SUBCHAPTER J CHILD CARE

DIVISION 8 ADDITIONAL REQUIREMENTS FOR INFANT CARE

§748.1767. May I swaddle an infant to help the infant sleep?

You may not lay a swaddled infant down to sleep or to rest on any surface at any time, unless you have an order signed by a health-care professional. You must keep the order in the child's record.

Helpful Information

There is evidence that swaddling can increase the risk of serious health outcomes, including SIDS and hip disease, and research does not provide definitive data to support the use of swaddling. Even with newborns, research does not provide conclusive data about whether swaddling should be used. Swaddling blankets that become loose during sleep pose an additional risk for infants. For concerns with maintaining an infant's temperature, appropriate clothing and/or a sleeveless infant sleep sack that does not restrict the infant's movement can be utilized. For more information on safe sleep, see the American Academy of Pediatrics Health Initiatives for Safe Sleep and the National Institutes of Health Safe to Sleep Campaign.