# Information Item V

# Crisis Service Standards

# I. Hotline

#### Definition

A hotline is continuously available telephone service staffed by trained and competent crisis staff that provides information, screening and intervention, support, and referrals to callers 24 hours per day, seven days per week. Any entity providing hotline services for any portion of the day must be accredited by the American Association of Suicidology (AAS).

#### B. Goals

* Immediate telephone response to a real or potential crisis situation.
* Immediate activation and coordination of the mental health crisis response system.

#### C. Description

#### The hotline is an integrated component of the overall crisis program; it operates continuously and is accessible toll-free throughout the local service area. The hotline serves as the first point of contact for mental health crises in the community, providing confidential telephonic triage to determine the immediate level of need and to mobilize emergency services for the caller if necessary. Trained and competent paraprofessionals may answer the hotline and provide information and non-crisis referrals; however, a trained and competent Qualified Mental Health Professional (QMHP-CS) is required to provide screening and assessment of the nature and seriousness of the call. The initial assessment leads to immediate and appropriate referrals for assistance or treatment. The hotline facilitates referrals to 911, a Mobile Crisis Outreach Team, or other crisis services and conducts follow-up contacts to ensure that callers successfully accessed the referred services. If an emergency is not evident after further screening or assessment, the hotline includes referral to other appropriate resources within or outside the Local Mental Health Authority (LMHA) or Local Behavioral Health Authority (LBHA). The hotline works in close collaboration with local law enforcement, 211, and 911 systems.

#### D. Standards

The hotline must be accredited by AAS and integrated with the LMHA’s local crisis response system including the Mobile Crisis Outreach Team and other crisis services in the LMHA’s crisis service array. The hotline must also meet minimum scoring requirements outlined by the Health and Human Services Commission (HHSC) below. If the LMHA contracts with an outside entity to provide all or part of the hotline service, the contractor must also be accredited by AAS, meet minimum scoring requirements outlined below and remain contractually responsible for compliance with the applicable standards.

For all components, under each area, excluding Lethality Assessment and Rescue Services in the 9th and 10th edition, a minimum component score of 2 is required **and** an area minimum score is required as shown below. The contractor should use the edition of the AAS Organization Accreditation Standards Manual that is applicable to the year of accreditation.

Listed below are the **minimum** scores acceptable to meet HHSC standards in each area described in the [8th, 9th, and 10th Edition of the AAS Organization Accreditation Standards Manual](http://www.suicidology.org/associations/1045/files/OrgzManual8.pdf).

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| --- | --- | --- | --- |
| **AREA** | **8th Ed** **MINIMUM SCORE** | **9th Ed MINIMUM SCORE** | **10th Ed** **MINIMUM** **SCORE** |
| 1. Administration and Organizational Structure | 12 | 11 | 14 |
| 2. Training Program (8th ed)/ Screening, Training, and Monitoring Crisis Workers | 24 | 16 | 16 |
| 3. General Service Delivery | 21 | 16 | 16 |
| 4. Services in Life-Threatening Situations | 16 | 8 | 8 |
| 5. Ethical Standards and Practice | 19 | 13 | 13 |
| 6. Community Integration | 13 | 9 | 9 |
| 7. Program Evaluation | 18 | 10 | 10 |

**II. Mobile Crisis Outreach Team**

**A. Definition**

Mobile Crisis Outreach Teams (MCOTs) provide a combination of crisis services including emergency care, urgent care, and crisis follow-up and relapse prevention to the child, youth, or adult in the community.

* **Emergency Care Services** – Mental health community services or other necessary interventions directed to address the immediate needs of an individual in crisis in order to assure the safety of the individual and others who may be placed at risk by the individual's behaviors, including, but not limited to, psychiatric evaluations, administration of medications, hospitalization, stabilization or resolution of the crisis. (25 TAC, Subchapter G, §412.303, (20), *general provisions)*
	+ Requirements per 25 TAC, Subchapter G, §412.314, (1)(B)*,emergency care services*: If during a screening it is determined that an individual is experiencing a crisis that may require emergency care services, the QMHP-CS must:

(i) take immediate action to address the emergency situation to ensure the safety of all parties involved;

(ii) activate the immediate screening and assessment processes as described in §412.321 of this title (relating to Crisis Services); and

(iii) provide or obtain mental health community services or other necessary interventions to stabilize the crisis.

* **Urgent Care Services** - Mental health community services or other necessary interventions provided to persons in crisis who do not need emergency care services, but who are potentially at risk of serious deterioration. (25 TAC, Subchapter G, §412.303, (61),*general provisions)*
	+ Requirements per 25 TAC, Subchapter G, §412.314,(1) (C)*, urgent care services:*

If the screening indicates that an individual needs urgent care services, a QMHP-CS shall, within eight hours of the initial incoming hotline call or notification of a potential crisis situation:

(i) perform a face-to-face assessment; and

(ii) provide or obtain mental health community services or other necessary interventions to

stabilize the crisis.

**B. Goals**

* Prompt assessment and evaluation in the community
* Stabilization in the least restrictive environment
* Crisis resolution
* Linkage to appropriate services
* Reduction of inpatient and law enforcement interventions

#### C. Description

#### MCOTs are clinically staffed mobile treatment teams that provide prompt face-to-face crisis assessment, crisis intervention services, crisis follow-up, and relapse prevention services for individuals in the community. These services shall reach individuals at their place of residence, school and/or other community-based safe locations, 24 hours per day, 365 days per year. Although MCOTs may transport an individual for the purpose of obtaining crisis services, if the MCOT determines that they cannot transport the individual safely, they may arrange for or coordinate transportation with law enforcement. MCOTs shall have arrangements for back-up and linkages with other services and referral services.

Children and their families shall receive crisis services unless it is contraindicated to include the family. Children’s crisis services are flexible, multi-faceted, and immediately accessible services provided to children and youths at high risk for hospitalization or out-of-home placement and their families. **These services shall reach individuals at their place of residence, school and/or other community-based safe locations**. Services shall be designed to be family-focused, intensive, and time-limited.

#### D. Standards

##### 1. Availability

a. Emergency care services shall be available 24 hours per day, seven days per week.

1) Urban LMHAs:

* 1. One MCOT shall be on call 24 hours a day, seven days a week; and
	2. In addition, a minimum of one MCOT shall be on duty during peak crisis hours, 84 hours per week to immediately respond to crisis calls.

2) Rural LMHAs:

1. Mobile outreach capability shall be maintained throughout the local service area 24 hours a day seven days a week; and
2. One MCOT shall be on duty during peak crisis hours, 56 hours per week to immediately respond to crisis calls.
3. Contractor shall respond to emergent crises within one hour and to urgent crises within eight hours.
4. Initial crisis follow-up and relapse prevention services shall be provided within 24 hours of the initial call or contact.

##### 2. Staffing Standards

a. A MCOT at a minimum shall be comprised of the following:

1) Urban MCOT: A QMHP-CS a physician (preferably a psychiatrist), advance practice nurse (APN), registered nurse (RN), physician assistant (PA), or licensed practitioner of the healing arts (LPHA) , or 1 LPHA may be deployed with trained and competent paraprofessional;

2) Rural MCOT: A QMHP-CS, a physician (preferably a psychiatrist), advance practice nurse (APN), physician assistant (PA), registered nurse (RN), Qualified Mental Health Professional (QMHP-CS) or licensed practitioner of the healing arts (LPHA). If they are not deployed as part of the MCOT, they must be available to provide face-to-face assessment as needed or clinically indicated, or 1 LPHA may be deployed with a trained and competent paraprofessional;

1. A psychiatrist shall serve as the medical director for all crisis services and must approve all policies, procedures, and protocols used in crisis services.

c. All MCOT staff shall receive crisis training that includes but is not limited to:

1) Signs, symptoms, and crisis response related to substance use and abuse;

2) Signs, symptoms, and crisis response to trauma, abuse, and neglect; and

3) Assessment and intervention for children and youths.

1. All MCOT staff providing screenings, assessments, and/or interventions must be either a physician (preferably a psychiatrist), an APN, an RN, a PA, a LPHA, or a QMHP-CS.
2. Contractor shall develop and implement written policies and procedures to define the duties and responsibilities for all staff involved in the assessment or treatment of a crisis. The policies and procedures shall address staff training, experience, and be in conformance with the staff member’s scope of practice (if applicable) and state standards for privileging and credentialing.
3. Contractor shall develop and implement written policies and procedures to ensure that services reach individuals at their place of residence, school and/or other community-based safe locations. When the level of risk to staff or the individual in crisis is determined to be significant, Contractor shall implement a protocol to ensure that someone in law enforcement meets the MCOT members and the individual at the location of the crisis.

g. If crisis exists in an institution such as a jail or hospital, at least one trained MCOT member shall respond to emergent or urgent crises.

h. Contractor shall deploy one MCOT member to the location of the individual for subsequent contacts or crisis follow-up and relapse prevention services in accordance with approved policies, procedures, and protocols.

i. In compliance with Texas Health & Safety Code §573.021(c), Contractor shall arrange for a physician (preferably a psychiatrist) to examine an individual as soon as possible, but no later than 12 hours after the time the individual is apprehended by a peace officer, or transported for emergency detention by the individual’s guardian.

##### 3. Screening and Assessment

1. For emergent calls, a face-to-face (or telehealth based on policies and procedures approved by the medical director) crisis response shall be provided within one hour. After crisis intervention services are provided, and if the individual is still in need of emergency care services then the individual shall be assessed by a physician (preferably a psychiatrist) within 12 hours.
2. Immediately upon arrival a face-to-face screening shall be completed by a QMHP-CS if a telephone screening has not been previously completed.
3. A written process for performing the screening shall be followed. The process shall address the criteria for requesting an immediate crisis assessment, medical screening/assessment, and psychiatric evaluation.
4. A crisis assessment shall be performed using the crisis elements of the Adult Needs and Strength Assessment (ANSA) or the Child and Adolescent Needs and Strength Assessment (CANS) or other HHSC-approved screening tool.
5. A crisis assessment shall include an evaluation of risk of harm to self or others, presence or absence of cognitive signs suggesting delirium, need for immediate full crisis assessment, need for emergency intervention, and an evaluation of the need for an immediate medical screening/assessment by an physician (preferably a psychiatrist), psychiatric APN, PA, or RN,
6. The full crisis assessment process shall include:
	1. Consumer interviews by a physician (preferably a psychiatrist), psychiatric APN, RN, PA, LPHA, or QMHP-CS with training in behavioral health crisis care;
	2. Review of records of past treatment (when available);
	3. History from collateral sources. The team is proactive in gathering input and/or corroboration of events from family members whenever possible. Every effort should be made to engage family support around the individual in crisis while maintaining confidentiality.
	4. Contact with the current health providers whenever possible;
	5. If available, a history of previous treatment and the response to that treatment that includes a record of past psychiatric medications, dose, response, side effects and adherence, and an up-to-date record of all medications currently prescribed, and the name of the prescribing professional;
	6. A detailed assessment of substance use and abuse, including the quantity and frequency of all substances used;
	7. Identification of social, environmental, and cultural factors that may be contributing to the emergency;
	8. An assessment of the individual’s ability and willingness to cooperate with treatment;
	9. A general medical history that addresses conditions that may affect the individual’s current condition (including a review of symptoms focused on conditions that may present with psychiatric symptoms or that may cause cognitive impairment, e.g., a history of trauma); and
	10. In emergent care, an assessment that addresses any medical conditions that may cause similar psychiatric symptoms or complicate the individual’s condition;
	11. In emergent care, an appropriate physical health assessment. In urgent care, a written procedure, approved by the medical director, is implemented to assess the need for referral for a physical health assessment including laboratory screening;
	12. Every individual is assessed for possible trauma, abuse, and neglect, and identified cases of potential abuse or neglect are appropriately reported.

##### 4. Intervention, Coordination and Continuity of Care

a. A written protocol shall be developed and implemented that specifies the most effective and least restrictive approaches to common behavioral health emergencies seen by the MCOT and is approved by the medical director. The protocol shall be reviewed and updated as needed. Revisions shall be submitted in accordance with Information Item S.

b. If screening or assessment indicates the need for transportation to a more restrictive environment to ensure safety or further treatment, a protocol and procedure shall be in place and used for providing immediate crisis intervention and transporting the individual to an appropriate facility. The individual shall be monitored continuously until transferred.

c. An individual crisis treatment plan that provides the most effective and least restrictive available treatment shall be developed and implemented for each individual. The plan shall be based on the provisional psychiatric diagnosis and incorporates, to the extent possible, individual and family preferences. The crisis treatment plan shall address intervention, outcomes, plans for follow-up and aftercare, and referrals.

d. Children’s crisis services must be provided by a QMHP-CS with additional experience, training, and competency in children and family crisis and treatment issues and working with children and families in crisis.

e. Children’s counseling must be provided by LPHAs with additional experience, training, and competency in child/youth treatment issues and working with children and families in crisis.

f. Individuals and families shall receive appropriate educational information that is relevant to their diagnoses. This includes information about the most effective treatment for the individual’s behavioral health disorder.

1. Written policies and procedures, approved by the medical director, shall define appropriate reassessment intervals in emergent, urgent, and routine care.
2. Whenever it appears necessary, the crisis treatment plan shall be adjusted to incorporate the individual’s response to previous treatment.
3. Coordination of crisis services shall be provided for every individual. Coordination of crisis services consists of identifying and linking the individual with all available services necessary to stabilize the behavioral health crisis and ensure transition to routine care, providing necessary assistance in accessing those services, and conducting follow-up and relapse prevention services to determine the individual’s status and need for further service. This includes contacting and coordinating with the individual’s existing service providers in a timely manner and in conformance with applicable confidentiality requirements.

j. Upon resolution of the crisis, eligible individuals shall be transitioned to a non-crisis LOC as medically necessary, or receive crisis follow-up and relapse prevention either by the MCOT or from another community service provider throughout a 90-day period (LOC 5) until he/she is stabilized and/or transitioned to appropriate behavioral health services.

k. Services shall link children and families with intensive evidence-based treatments aimed at reducing further risk of out of home placement as soon as possible.

**III. Walk-In Crisis Services**

**A. Definition**

Walk-in crisis services are office-based crisis services providing immediate screening and assessment and brief, intensive interventions focused on resolving a crisis and preventing admission to a more intensive level of care.

**B. Goals**

* Prompt screening and assessment
* Stabilization in the least restrictive environment
* Crisis resolution
* Linkage to appropriate services

**C. Description**

Walk-in crisis services are immediately accessible services for adults, children, and youths that serve two purposes: ready access to psychiatric assessment and treatment for new individuals with urgent needs, and access to same-day psychiatric assessment and treatment for existing individuals within the system with urgent needs. For persons whose crisis screening and/or assessment indicate that they are an extreme risk of harm to themselves or others in their immediate environment, rapid transfer to a higher level of care is facilitated. If extreme risk of harm is ruled out, brief crisis intervention services are provided on-site. Walk-in crisis services are designed to be intensive and time-limited, and are provided until the crisis is resolved or the person is referred to another level of care. After the initial crisis assessment and intervention, continuing services may be provided in the office or in vivo for up to 90 days until the individual is stabilized and/or transitioned to appropriate behavioral health services. Walk-in crisis services are offered in the local service area based on availability of LMHA funding.

**D. Standards**

**1. Availability**

a. Contractor shall provide immediate access to qualified staff to provide crisis screening, assessment and intervention services during hours of operation.

b. Children’s walk-in crisis service hours shall be flexible to meet family needs.

**2. Physical plant**

a. The location of the walk-in crisis services shall be clearly marked from the street, and Contractor shall include the location in LMHA service literature, community media and telephone directories.

b. Contractor’s offices must meet all Americans with Disabilities Act Accessibility Guidelines/Texas Accessibility Standards (ADAAG/TAS).

c. Contractor’s offices shall have at least one designated area where persons in extreme crisis can be safely maintained until transported to another level of care (e.g., hospital or crisis stabilization unit).

d. Contractor’s office spaces shall afford privacy for protection of confidentiality.

**3. Staffing**

a. A psychiatrist shall serve as the medical director for all crisis services and approve all written procedures and protocols.

b. Duties and responsibilities for all staff involved in assessment or treatment shall be defined in writing, appropriate to staff training and experience, and in conformance with the staff member’s scope of practice (if applicable) and state standards for privileging and credentialing.

c. All crisis service staff members shall receive crisis training that includes but is not limited to:

1) Signs, symptoms, and crisis response related to substance use and abuse;

2) Signs, symptoms, and crisis response to trauma, abuse and neglect; and

3) Assessment and intervention for children and youths.

d. All crisis services staff members must be trained physicians (preferably psychiatrists), psychiatric APNs, PAs, RNs, LPHAs, QMHP-CSs or trained and competent paraprofessionals.

e. All staff providing crisis screening, assessment, and intervention must be physicians (preferably psychiatrists), psychiatric APNs, PAs, RNs, LPHAs, or QMHP-CSs

f. As clinically indicated, a physician (preferably a psychiatrist), or a psychiatric APN or PA shall be available for telephone consultation or face-to-face assessment/telemedicine assessment.

g. When the level of risk to staff or the individual exceeds the capability of on-site staff, a written protocol shall be implemented to access emergency LMHA resources.

h. When emergency medical services are not available on site, trained staff who are prepared to provide first-responder health care (Basic Life Support, First Aid, et cetera) shall be on site at all times during business hours.

**4. Screening and Assessment**

a. Individuals shall receive a face-to-face crisis triage or screening by a QMHP-CS within 15 minutes of presentation.

b. After the person presents on the physical premises for a crisis screening, the individual shall wait in a location with rapid access to staff. If acuity worsens, trained and competent paraprofessionals may be utilized to provide observation.

c. Crisis screening shall be performed using the crisis elements of ANSA, CANS, or other HHSC-approved screening tool.

d. Crisis screening shall be documented, and the screening shall evaluate risk of harm to self or others, contributive medical issues and the need for immediate full crisis assessment, emergency intervention, and evaluates the need for immediate medical screening assessment by a physician (preferably a psychiatrist), psychiatric APN, PA or RN.

e. A written procedure for performing the crisis screening shall be developed and implemented. The procedure shall address the criteria for requesting an immediate crisis assessment, medical screening/assessment, and psychiatric evaluation.

f. An assessment shall be completed by an LPHA or RN within one hour of referral from the screening process.

g. A written process and procedure shall be developed and implemented that ensures that those who require a more immediate assessment can begin the full crisis assessment by an LPHA ,or RN within 15 minutes of initial presentation to walk-in crisis services.

h. A physician (preferably a psychiatrist), or a psychiatric APN or PA shall be available to examine and complete a psychiatric assessment for an individual in emergent crisis between three and eight hours from presentation to the services.

i. The full crisis assessment process shall include:

1) Clinical interviews conducted by a physician (preferably a psychiatrist), psychiatric APN, PA, RN LPHA or a QMHP-CS with training in behavioral health crisis care;

2) Review of available records of past treatment (as available and in keeping with laws governing confidentiality);

3) History from collateral sources, including input and/or corroboration of events from family members whenever possible. Every effort should be made to engage family support around the individual in crisis while maintaining confidentiality.

4) Contact with current health providers whenever possible;

5) If available, a history of previous treatment and the response to that treatment that includes a record of past psychiatric medications, dose, response, side effects and adherence, and an up-to-date record of all medications currently prescribed, and the name of the prescribing professional;

6) A detailed assessment of substance use and abuse that includes the quantity and frequency of all substances used;

7) Identification of social, environmental, and cultural factors that may be contributing to the emergency;

8) An assessment of the individual’s ability and willingness to cooperate with treatment;

9) A general medical history that addresses conditions that may affect the individual’s current condition (including a review of symptoms focused on conditions that may present with psychiatric symptoms or that may cause cognitive impairment, e.g., a history of trauma); and

10) In emergent care, an assessment addresses medical conditions that may cause similar psychiatric symptoms or complicate the individual’s condition. Contractor shall provide access to phlebotomy services, with same day lab results. Services shall include, but are not limited to, the following laboratory tests or evaluations:

a) A complete blood count with differential;

b) A comprehensive metabolic panel;

c) A thyroid screening panel;

d) A toxicology evaluation

e) A pregnancy test;

f) A screening test for tertiary syphilis;

g) Psychiatric medication levels; and

h) Other tests or evaluations, as appropriate, based on the patterns of illness in the individuals served.

11) Every individual shall be evaluated for possible trauma, abuse, or neglect, and identified cases of potential abuse or neglect are appropriately reported.

**5. Intervention, Coordination and Continuity of Care**

a. A written protocol shall be developed and implemented that specifies the most effective and least restrictive approaches to common behavioral health emergencies seen in the walk-in crisis services and is approved by the medical director. The protocol shall be reviewed and updated as needed.

b. If screening or assessment indicates the need for transportation to a more restrictive environment to ensure safety or further treatment, a protocol and procedure shall be used for providing immediate crisis intervention and safely transporting the individual to an appropriate facility. The individual shall be monitored continuously until transferred.

c. An individual crisis treatment plan shall be developed and implemented for each individual that provides the most effective and least restrictive available treatment. The plan shall be based on the provisional psychiatric diagnosis and incorporates, to the extent possible, individual and family preferences. The crisis plan shall address intervention, outcomes, plans for follow-up and aftercare, and referrals.

d. Whenever necessary, the crisis treatment plan shall be adjusted to incorporate the individual’s response to previous treatment.

e. Individuals and families shall receive appropriate educational information that is relevant to their condition, including information about the most effective treatment for the individual’s behavioral health disorder.

f. The medical director shall define appropriate reassessment intervals for emergent, urgent, and routine care.

g. Walk-in crisis services for children and youths must be provided by a QMHP-CS with additional experience, training, and competency in children and family crisis and treatment issues.

h. Children’s counseling must be provided by LPHAs with additional experience, training, and competency in child/youth treatment issues and working with children and families in crisis.

i. Services provided shall link families with intensive evidence-based treatments aimed at reducing further the risk of out of home placement.

j. Coordination of crisis services shall be provided for every individual. Coordination of crisis services consists of linking the individual with all available services necessary to stabilize the behavioral health crisis and ensure transition to routine care, providing necessary assistance in accessing those services, conducting follow-up and relapse prevention services to determine the individual’s status and need for further service. This includes contacting and coordinating with the individual’s existing service providers in a timely manner and in conformance with applicable confidentiality requirements.

k. Upon resolution of the crisis, eligible individuals shall be transitioned to a non-crisis LOC if determined to be medically necessary, or receive crisis follow-up and relapse prevention either by the MCOT or from another community service provider throughout a 90-day period (LOC 5) until he/she is stabilized and/or transitioned to appropriate behavioral health services.

**IV. Extended Observation Unit**

1. **Program Definition**

Extended Observation Units (EOUs) operated by the local mental health authority (LMHA) provide services in accordance with 25 TAC, Chapter 412, Subchapter G, relating to mental health community services standards and HSC, Chapter 573, relating to emergency detention. EOUs accept individuals on voluntary or involuntary status as described in HSC, Chapter 573. EOUs provide access to emergency psychiatric care 24 hours a day, 7 days a week (24/7) and have the ability to manage individuals with high to moderate psychiatric symptoms. Individuals are provided appropriate and coordinated transfer to a higher level of care if needed. EOU services shall be provided in a safe and secure environment and staffed by medical personnel and mental health professionals.

**B. Goals for Extended Observation**

* Provide prompt and comprehensive assessment of a psychiatric crisis
* Provide prompt crisis stabilization
* Provide crisis resolution
* Provide linkage to appropriate services
* Reduce inpatient and law enforcement interventions

C. Description**.** The following description applies to all EOUs.

1. Length of Stay. Extended observation services can take place for up to 23 hours or up to 48 hours, depending on the physical setting of the facility as described in subsection 3 of this section. An individual who cannot be stabilized within that timeframe shall be linked to the appropriate level of care such as an inpatient hospital unit or crisis stabilization unit. The LMHA shall develop a written plan on a process for managing individuals on emergency detention after the 48 hours has expired. EOUs may be co-located within a licensed hospital or within close proximity to a licensed hospital. The availability of an EOU is dependent upon community needs and funding.

2. Admission status. Individuals may be admitted by a physician (i.e., preferably a psychiatrist) to an EOU under voluntary or involuntary status. In accordance with HSC, Chapter 573, an individual on emergency detention may be detained in an EOU.

3. Capacity to Consent. An individual with capacity, as determined by a physician (i.e. preferably a psychiatrist), must give written consent to receive mental health services, including medication and laboratory services. If an individual is in a psychiatric emergency regardless of consent, the individual may be administered emergency medication in accordance with 25 TAC Chapter 414, Subchapter I.

4. Observation Area. The observation area of the EOU physical plant shall:

a. Have a designated area where an individual experiencing extreme symptoms can be observed and safely maintained until the crisis is resolved or the individual is transported to another level of care;

1) If the facility provides 23 hour observation, with chairs or beds in a shared room or bedrooms, monitoring of the area shall be maintained at all times.

2) If the facility provides 48 hour observation, the facility shall provide a separate bed for each individual. If beds are in a shared room, monitoring shall be maintained at all times.

3) If an individual is provided with a private bedroom, monitoring of the bedroom areas may be maintained continuously, with direct observation of the individual conducted no more than 15 minutes apart, unless one-to-one continuous observation is required as determined by the treating physician or treatment team.

b. Afford privacy for the protection of confidentiality, when an individual is obtaining any information protected under the Health Insurance Portability and Accountability Act (HIPAA) rules or other applicable federal or state laws concerning confidentiality;

c. Have separate observation areas for children, separate observation areas for adolescents, and separate observation areas for adults; and

d. Ensure that individuals are held in a safe and secure environment in that exterior doors may be locked and monitored for the safety and protection of individuals and staff.

5. Egress. An Individual on involuntary status may be detained in a locked unit. An individual on voluntary status may receive services in the least restrictive environment available, consistent with the protection of the individual and the protection of the community. An individual on voluntary status may have access to, with or without supervision, appropriate areas of the EOU away from the individual’s bed or unit. For discharge standards, see section F. of the EOU section of Information Item V.

6. Facility Standards. General facility standards are described in sections H-L of the EOU section of Information Item V.

**D. Standards.** The standards in this section are applicable to all EOUs.

1. Staffing. The EOU staffing pattern shall adhere to the following standards and not follow the staffing pattern of a facility that provides a lower level of care. A staffing plan shall be developed to address acuity of number of clients served.

a. The EOU shall have sufficient physicians (i.e., preferably psychiatrists) psychiatric APNs, PAs, RNs, LPHAs, QMHCSs, and trained, competent paraprofessionals to allow for:

1) An LPHA assessment within one hour of an individual’s presentation at the EOU and an assessment by a physician within eight hours of presentation at the EOU;

2) An individual’s reassessment is conducted at least every 15 minutes by trained, competent paraprofessionals, at least every two hours by nursing staff, and at least every 24 hours by a physician (i.e., preferably a psychiatrist) or a psychiatric APN or PA;

3) Active therapeutic interventions consistent with the individual’s clinical state and admission status; and

4) Individual and staff safety including one-to-one observation as needed.

b. A physician, (i.e., preferably a psychiatrist), or a psychiatric APN or PA shall be on call 24 hours a day to evaluate an individual face-to-face or via telemedicine as needed;

c. At least one LPHA shall be on site 7 days a week from 8:00 a.m. to 8:00 p.m. or via telemedicine after hours as needed;

d. At least one RN shall be on site 24/7;

e. A QMHP-CS shall be on each shift between the hours of 8 a.m. to 7 p.m. and be assigned to identified individuals;

f. At least 3 or more, as clinically indicated, trained and competent paraprofessionals shall be on site 24/7; and

g. Staffing shall be adjusted as clinically indicated based on acuity and number of clients.

2. Duties and Responsibilities. Duties and responsibilities for all staff involved shall be defined in writing, consistent with staff training and experience, and in conformance with the staff’s scope of practice (if applicable) and state standards for privileging and credentialing.

 a. A psychiatrist shall serve as the medical director for all crisis services and shall approve all procedures and protocols used in crisis services. Staff involved in assessment or treatment shall receive crisis training that includes, but is not limited to:

1) Identifying the signs, symptoms, and crisis response related to substance use and abuse;

2) Identifying the signs, symptoms, and crisis response to trauma, abuse and neglect;

3) Assessing and providing intervention for children and adolescents, if applicable to the facility; and

4) Conducting suicide screenings and risk assessments with a validated tool.

b. In accordance with 25 TAC, Chapter 412, Subchapter G, there shall be a written procedure for RNs to make assignments to LVNs or delegate to unlicensed staff members nursing acts for the care of stable individuals with common, well-defined health problems with predictable outcomes. The procedure must address types of nursing acts that may be delegated, the method to ensure the staff is trained and qualified to perform a delegated nursing act, and the frequency of nursing supervision.

c. In accordance with 25 TAC, Chapter 412, Subchapter G, clinical supervision shall be provided and documented for all staff and all licensed staff shall be supervised in accordance with their practice and applicable rules.

3. Service Availability. The EOU shall adhere to the following service availability requirements.

a. This service shall be available 24/7 throughout the participating service areas.

b. EOU services shall be delivered in accordance with utilization management guidelines and authorization of services and timeframes. A diagnosis is not required when services are delivered in a crisis level of care such as the services provided in an EOU. Crisis services shall be authorized within 2 business days of presentation.

4. Eligibility Criteria. The EOU shall adhere to the following eligibility requirements.

a. A written process and procedure shall be developed and implemented that outlines eligibility criteria for admission into the EOU.

 b. A QMHP-CS shall conduct a preadmission screening to determine if an individual meets eligibility criteria that may result in acceptance into the EOU.

c. Admission to the EOU shall be based on medical necessity as determined by the physician (i.e., preferably a psychiatrist).

d. Regardless of voluntary or involuntary admission status, at time of presentation, each individual shall receive information about their rights and a Right’s Handbook in accordance with 25 TAC Chapter 25, Subchapter E.

e. The facility shall not admit an individual whose acuity level cannot be effectively managed in the EOU as determined by a physician (i.e., preferably a psychiatrist). An individual that requires a greater or lesser level of care shall be referred to a more appropriate treatment setting.

5. Screening and assessment standards. The EOU shall adhere to the following screening and assessment standards outlined below. All screening and assessment activity shall be documented in the individual’s file.

a. A written process and procedure shall be developed and implemented to ensure that those who require a physical health assessment more immediately, as clinically indicated, can be seen and assessed as soon as possible, but no longer than 15 minutes.

b. A written process and procedure shall be implemented that allows an individual who requires a psychosocial or psychiatric assessment more immediately to be seen and assessed within 15 minutes of that determination.

c. Written criteria shall be developed and implemented to determine which individuals who present for care are referred to another health care facility or provider. The following criteria ensure that those referred to a lower level of care:

1) Are at low or no risk of harm to themselves or others;

2) Have no more than mild functional impairment;

3) Do not have significant medical, psychiatric, or substance abuse comorbidity; and

 4) Referral decisions consider the individual’s ability to understand and accept the need for treatment (if such need exists) and to comply with the referral.

 d. A written description of the process for triage shall be developed, implemented and followed. The description shall address:

1) Preadmission screening, screening for emergency medical conditions, the process for accessing emergency medical intervention; and

2) Provisions for when emergency medical services are not available on site by having trained staff on site at all times who are prepared to provide first-responder health care (i.e., basic life support and First Aid) and who are able to determine whether to call 911

 for assistance.

 e. While awaiting triage, an individual shall wait in a safe and secure location with constant staff observation and monitoring. The triage protocol shall include:

1) An evaluation of risk of harm to self or others;

2) The presence or absence of cognitive signs suggesting delirium;

3) The need for immediate full crisis assessment;

4) The need for emergency intervention;

5) The need for a medical screening or medical assessment, including vital signs and a medical history; and

6) Lab work.

 f. After triage, an individual who is not referred elsewhere for care shall receive a full crisis

 assessment (psychosocial, psychiatric and medical as ordered).

1. Crisis assessments shall be performed using the Adults Needs and Strengths

Assessment (ANSA) and the Child and Adolescent Needs and Strengths Assessment (CANS), which are the approved assessments adopted by the Department that is used for recommending and authorizing a Level of Care (LOC).

1. An LPHA assessment shall be initiated within one hour of an individual’s

presentation at the EOU.

a) An individual who receives an assessment shall see a physician (i.e., preferably a psychiatrist) within eight hours of presentation at the EOU.

b) An Individual’s interview provided by a physician (i.e., preferably a psychiatrist) may occur either face-to-face or via telemedicine as needed.

c) Every individual less than 18 years of age shall be assessed (including receiving a developmental assessment) by an LPHA with appropriate training in the assessment and treatment of children and adolescents in a crisis setting.

3) Historical and current information shall be obtained and include the following:

a) Mental health assessment. An individual shall receive a mental health assessment that documents symptomology, functionality, historical and current diagnosis and treatment for mental health diagnoses in accordance with the ANSA or CANS. The team shall be proactive in gathering input and/or corroboration of events from family members whenever possible. Every effort shall be made to engage family support for the individual in crisis while maintaining confidentiality. The mental health assessment shall include;

-1- Reviewing of records of past treatment (when available);

-2- Reviewing history from collateral sources;

-3- Contacting the current healthcare providers whenever possible;

-4- If available, reviewing a history of previous treatment and the response to that treatment including a record of past psychiatric medications, dose, response, side effects and adherence, and an up-to-date record of all medications currently prescribed, and the name of the prescribing professional; and

-5- Identifying social, environmental, and cultural factors that may be contributing to the emergency.

 b) Suicide assessment. An individual shall receive a suicide assessment

 that documents current suicide risk or plan, past suicidal ideations, and

 past suicide attempts.

c) Physical health assessment. An individual shall receive a physical health

assessment within four hours of presentation. The initial assessment for physical health shall be performed, as ordered, by a physician (preferably a psychiatrist), or a psychiatric APN or PA. The physical assessment shall include:

-1- An evaluation and documentation of the presence or absence of cognitive signs suggesting delirium and the need for emergency intervention;

-2- A general medical history that addresses conditions that may affect the individual’s current condition (including a review of symptoms focused on conditions that may present with psychiatric symptoms or that may cause cognitive impairment, e.g., a history of trauma);

-3- A review of medical conditions that may cause similar psychiatric symptoms or complicate the individual’s condition;

-4- Access to phlebotomy and laboratory studies shall be provided. Such studies shall include, but are not limited to, the following laboratory tests or evaluations:

-a- Vital signs;

-b- A thyroid screening panel;

-c- A toxicology evaluation;

-d- A pregnancy test;

-e- Psychiatric medication levels;

-f- Other tests or evaluations, as appropriate, based on the patterns of illness in the individuals served;

-g- Screening for intoxication and, when indicated, screening for symptoms and complications of substance withdrawal shall be provided; and

-h- A neurological examination that is adequate to rule out significant acute pathology;

d) Support and coping skills assessment. An individual shall receive a support and coping skills assessment which documents their current support system, current coping skills, historical coping skills with stressful events, current ideas for coping with the situation, and the individual’s ability and willingness to cooperate with treatment/recovery plan;

e) Safety plan. An individual shall receive a safety plan which documents the need to limit access to weapons or other means that may cause harm self or others, to limit the use of harmful substances, to discuss options for verbal support and the community resources provided; and

f) Final outcome – The final outcome shall be documented to describe the outcome of the crisis and the treatment and recovery plan with the individual in crisis.

6. Continuity of care and coordination. EOUs shall adhere to the following continuity of care and coordination standards. All continuity of care and coordination activities shall be documented in the clinical record.

a. A written procedure shall be developed and implemented for ensuring continuity of care and successful linkage with the referral provider.

b. Continuity of care shall:

 1) Be provided for every individual;

2) Consist of identifying and linking the individual with all available services including the substance abuse services necessary to stabilize the crisis and ensure transition to routine care;

3) Provide necessary assistance in accessing those services and conducting follow-up to determine the individual’s status and need for further service; and

4) Include contacting and coordinating with the individual’s existing service providers, when feasible, and in conformance with applicable confidentiality requirements.

c. Coordination of services shall include the following requirements:

1) A discharge plan shall be initiated for every individual upon admission;

2) If inpatient treatment is not indicated, the discharge plan shall include:

a) Appropriate education relevant to the individual’s condition;

b) Information about the most effective treatment for the individual’s psychiatric condition;

c) Information about follow-up care; and

d) Appropriate linkages to post discharge providers.

 d. If a physical health issue requires hospitalization, the individual shall be transferred to the appropriate community hospital to address the physical health issue.

**E. Treatment.** All treatment activities shall be documented in the individual’s clinical record.

 1. Contractor shall develop and implement written policies and procedures to address the following:

a. The most effective and least restrictive approaches to common psychiatric emergencies seen in the walk-in crisis services and approved by the medical director. The policies and procedures shall be reviewed and updated as needed. Revisions shall be submitted in accordance with Information Item S.

b. Immediate care to stabilize a psychiatric emergency (e.g., to prevent harm to the individual or to others) shall be available at all times.

 2. A nursing care plan shall be developed for every individual.

 3. A response to treatment shall be assessed at least every two hours by an RN trained in the assessment of acute behavioral health individuals or by a psychiatrist, a psychiatric APN or PA.

 4. Education and Crisis Treatment and Recovery Plan

a. Education. Individuals and family members shall receive appropriate educational information that is relevant to the individual’s condition, including information about the most effective treatment for the individual’s behavioral health disorder.

(A) An LPHA shall be responsible for providing the individual with active treatment including psycho-education, crisis counseling, substance abuse counseling, safety planning, and a discharge plan that addresses potential obstacles to a successful return.

b. Crisis treatment and recovery plan. Whenever necessary, the crisis treatment and recovery plan shall be adjusted to incorporate the individual’s response to previous treatment. Treatment planning shall place emphasis on crisis intervention services necessary to stabilize and restore the individual to a level of functioning that does not require hospitalization.

c. Implementation. An individualized crisis treatment and recovery plan shall be developed and implemented for each individual and the plan shall provide the most effective and least restrictive available treatment. The plan shall be based on the provisional psychiatric diagnosis and incorporates, to the extent possible, the individual’s and family preferences. If the person is indicated to be at risk of harm to self in the initial assessment, then counseling on restriction to lethal means and safety planning shall be incorporated into the crisis and recovery plan.

d. Follow up. The crisis plan shall address intervention, outcomes, plans for follow-up and aftercare, and referrals.

**F. Discharge Planning.** All discharge planning activities shall be documented in the individual’s clinical record. A written process and procedure shall be developed that addresses the following.

1. An individual shall be released from involuntary status if it is determined by the physician (i.e., preferably a psychiatrist) that the individual no longer meets the criteria for involuntary status or the 48 hour maximum length of stay has been reached. At which time, the individual shall be discharged to the community, discharged and readmitted as a voluntary admission, or transferred to an appropriate level of care.

2. An individual on voluntary status who makes a request to discharge, in any format, shall be honored as a request to leave. The individual’s request for discharge shall be processed as soon as possible. The individual shall be discharged with at minimum the individual’s belongings and medications. Staff shall immediately notify the LPHA and physician (preferably a psychiatrist) of the individual’s request.

3. The discharge plan in accordance with section D.6.c. shall be executed unless the individual is transferred to a higher level of care.

**G**. **Medication Standards.** Certain conditions regarding how medications are obtained or provided may require that the facility obtain licensure in accordance with the Texas Board of Pharmacy rules described in 22 TAC, Part 15, Chapter 291.

 1. Medication storage. All facilities that provide or store individual’s medication during the length of stay shall implement written procedures for medication storage, administration, documentation, inventory, and disposal and shall adhere to medication standards in the 25 TAC, Chapter 412, Subchapter G.

a. An Individual shall not be allowed to retain his or her own medications while in the facility.

b. Staff shall be able to provide a copy of the most recent stock inspection.

c. There shall be evidence in the clinical records that individuals are educated about their

medications including whenever medications are prescribed or changed.

d. Medications that are kept on-site shall be kept locked at all times.

 2. Climate controlled medications. Medications that require special climatic conditions such as refrigeration, darkness, or be tightly sealed shall be stored properly.

 3. Controlled substances.

a. Controlled substances shall be approved by a physician employed by or who contracts

 with the facility or LMHA that operates the EOU.

b. Controlled substances shall be stored under double locks.

 4. Labeling medications.

a. The facility shall ensure that there are no expired, recalled, deteriorated, broken, contaminated or mislabeled drugs present.

b. Medication labels shall not be handwritten or changed.

 5. Facility management.

a. Facility management shall ensure that only licensed staff have access to medications that are administered to individuals.

b. Facility management shall maintain a current list in the medication room of all staff who are licensed to prescribe medications that are dispensed from the medication room.

c. Facility management shall maintain a current list of all staff licensed to administer medications in the medication room.

d. The facility shall ensure that there is a list in, or near, or within the medication room stating the names of all staff who are authorized access to the medication room.

e. The facility shall ensure that staff never transfer medications from one container to another. However, an individual may independently transfer his or her own medications from a bottle to a daily medication reminder.

 f. The facility shall maintain an emergency medication kit which shall:

1) Be monitored using a perpetual method inventory and make use of breakaway

seals; and

2) Contain medications and other equipment as specified by the facility medical director. This generally includes, but is not limited to, short acting neuroleptics, anti-Parkinsonian medications, and anti-anxiety medications.

g. There shall be a medication guide such as a Physician’s Desk Reference (PDR) or similar publication, that is available to staff. The PDR shall be a current version published within the previous two years.

 **H. Physical Plant**

1. The physical plant shall have written policies and procedures for monitoring environmental safety

2. The physical plant shall provide a clean and safe environment.

3. The EOU is subject to Quality Management (QM) compliance reviews. Any changes in programming, construction or facility shall be reported to the department immediately.

**I. Facility Environment.** Facility environment requirements are developed in accordance with the International Fire Code(IFC), 2012 Edition (<https://archive.org/details/gov.law.icc.ifc.2012>) and the American with Disabilities Act (ADA) checklist for existing facilities (<http://www.adachecklist.org/>).

1. Water/Waste/Trash/Sewage. The water supply shall be of safe, sanitary quality, suitable for use, adequate in quantity and pressure, and shall be obtained from an approved water supply system.

a. Waste water and sewage shall be discharged into an approved sewage system or an onsite sewage facility approved by the Texas Commission on Environmental Quality or its authorized agent.

b. Waste, trash and garbage shall be disposed of from the premises at regular intervals in accordance with state and local practices. Excessive accumulations shall not be permitted. The facility shall comply with 25 TAC Chapter 1, Subchapter K concerning (Definition, Treatment, and Disposal of Special Waste from Health Care Related Facilities).

c. Hot water for lavatories and bathing units shall be maintained between 100 degrees Fahrenheit and 120 degrees Fahrenheit.

d. A supply of hot and cold water shall be provided. Hot water for sanitizing shall reach 180 degrees Fahrenheit or manufacturers suggested temperature for chemical sanitizers.

2. Windows. Operable windows shall be insect screened.

3. Pest control. An ongoing pest control program shall be provided by staff or a licensed pest control company. The least toxic and least flammable effective chemicals shall be used.

4. Storage.

a. Storage areas and cellars shall be kept in an organized manner.

b. Storage shall not be permitted in the attic spaces.

5. Floors, walls, and ceilings.

a. Floors shall be clean and maintained in good condition.

b. Walls and ceilings shall be structurally maintained, repaired and repainted or cleaned as needed.

6. Bathroom and laundry.

a. At least one water closet and lavatory per every six individuals, and one tub or shower for every ten individuals shall be provided in each EOU.

b. Privacy partitions and or curtains shall be provided for water closets and bathing units in rooms for multi-individual use.

c. Tubs and showers shall have non-slip bottoms or floor surfaces, either built-in or applied to the surface.

d. Towels, soap and toilet tissue shall be available at all times for individual’s use.

e. If laundry is processed off the site, a soiled linen holding room; a clean linen receiving, holding, inspecting, sorting or folding and storage room shall be provided on the premises.

f. A laundry for individual’s use, if provided, shall utilize residential type washers and dryers. If more than three washers and three dryers are located in one space, the area shall be one-hour fire separated or provided with sprinkler protection.

7. Building repair/maintenance/and cleaning.

a. The facility shall be kept free of accumulations of dirt, rubbish, dust and hazards.

b. The building shall be kept in good repair, and electrical, heating and cooling systems shall be maintained in a safe manner.

c. Cooling and heating shall be provided for occupant comfort. Conditioning systems shall be capable of maintaining the comfort range of 68 degrees Fahrenheit to 82 degrees Fahrenheit in areas where individuals receive services.

8. Room space.

a. The room space provided shall be at least 80 usable square feet per individual in single-occupancy rooms; or 60 usable square feet per individual in multiple-occupancy rooms.

b. Furnishings provided by the EOU shall be maintained in good repair.

c. Only break-away or collapsible clothes bars in wardrobes, lockers, towel bars, and closets and shower curtain rods shall be permitted.

d. Bedrooms, private spaces, unsupervised social spaces and unsupervised common areas shall not contain any cords, ropes or other materials that could effectively be used by an individual for purposes of inflicting harm to self or others.

1. **General Facility**

1. Storage. The facility shall provide sufficient, appropriate, and separate storage spaces or areas for the following:

a. Administration and clinical records;

b. Office supplies;

c. Medications and medical supplies that shall be locked;

d. Poisons and other hazardous materials (these shall be kept in a locked area and shall be kept separate from all food and medications);

e. Food preparation (if the facility prepares food); and

f. Equipment supplied by the facility for individuals’ needs such as wheelchairs, walkers, beds, mattresses, cleaning supplies, food storage, clean linens and towels, lawn and maintenance equipment, soiled linen storage or holding rooms, and kitchen equipment.

2. Smoking. If smoking areas are permitted, the facility shall ensure that they are clearly marked as designated smoking areas.

a. Smoking regulations shall be established and if smoking is permitted,

b. Outdoor smoking areas may be designated for individuals.

c. Ashtrays of noncombustible material and safe design shall be provided in smoking areas.

3. Prohibitions. The facility shall post a notice that prohibits alcohol, illegal drugs, illegal activities, violence, and weapons, including but not limited to knives, shanks, brass knuckles, and switchblades on the program site.

4. Telephone access. Contractor shall provide at least one telephone in the facility available to both staff and individuals for use.

5. Main area displays. The following shall be prominently displayed in areas frequented by individuals:

a. Contact information for the Rights Protection Officer;

b. Contact information with instructions on how to make an abuse/neglect/exploitation report and the toll-free number for reporting abuse and neglect; and

c. A notice stating the name, address, telephone number, TDD/TTY telephone number, FAX, and e-mail address of the staff responsible for ADA compliance.

6. Postings. Postings shall be displayed in English and in a second language(s) appropriate to the population(s) served in the local service area.

 7. Accessibility (ADA Compliance). The facility shall comply with standards in accordance with the Title 28, Code of Federal Regulations (CFR), Part 36. (<http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title28/28cfr36_main_02.tpl>)

 a. EOUs shall comply with ADA Accessibility Guidelines (ADAAG) and Texas Accessibility Standards (TAS) and all applicable sections of TAC.

b. At least 10 percent of individuals’ bedrooms and toilets, and all public use and common use areas shall be designed and constructed to be accessible.

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**K. Life Safety**

1. Life Safety Code. The facility shall comply with the most recent edition of the National Fire Protection Association’s Life Safety Code (NFPA 101) as adopted by the State Fire Marshal, or with the International Fire Code (IFC). Determination of the specific code to be applied is determined by the local fire authorities having jurisdiction

2. Local fire code. All facilities shall be classified as to type of occupancy and incorporate all life safety protections set forth in the applicable code as defined by the local fire authority.

3. Code compliance. Facilities shall maintain continuous compliance with the life safety requirements set forth in the applicable chapters of the codes referenced in subsections (1) and (2) of this section.

4. Fire drills. The facility shall conduct fire drills, when applicable, and calculate evacuation scores in accordance with the fire code under which the facility is inspected.

a. The administration shall have in effect and available to all supervisory staff written copies of a plan for the protection of all individuals in the event of fire and for their remaining in place, for their evacuation to areas of refuge, and from the building when necessary.

b. The written plan shall:

1) Identify special staff actions including fire protection procedures needed to

ensure the safety of any individual;

2) Indicate that all staff shall be periodically instructed and informed of their

duties and responsibilities under the plan;

3) Be amended or revised as needed; and

4) Require documentation that reflects the current evacuation capabilities of the

 individuals.

c. A copy of the plan shall be readily available at all times within the facility.

5. Recorded inspections. Facilities shall provide a safe environment, participate in required inspections, and keep a current file of reports and other documentation to demonstrate compliance with applicable laws and regulations. Files and records that record annual quarterly or other periodic inspections shall be signed and dated.

a. Inspections and maintenance. The following initial and annual inspections and maintenance are required and shall be kept on file:

1) Local fire safety inspection as described in subsection (6)(a) of this section;

2) Alarm system inspection by the fire marshal or an inspector authorized to

install and inspect alarm systems;

3) Annual kitchen inspection by the local health authority or the Department;

4) Gas pipe pressure test one every three years by the local gas company or a

licensed plumber;

5) Monthly inspection and annual maintenance of fire extinguishers by personnel

licensed or certified to perform the inspection; and

6) (If applicable) inspection of liquefied petroleum gas systems by an inspector

certified by the Texas Railroad Commission.

6. Fire safety inspections. Initial and ongoing inspections for compliance with the applicable code shall be conducted by a fire safety inspector certified by the Texas Commission on Fire Protection or by the State Fire Marshal.

a. The facility is responsible:

1) For arranging these inspections and for ensuring that these inspections are

carried out in a timely manner;

2) For ensuring the initial and ongoing reports are signed by the certified

inspector performing inspection; and

3) For keeping the reports on file and be readily available for review by the state.

b. All fires causing damage to the EOU or to equipment shall be reported to the Department’s Contract Manager with 72 hours.

c. Any fire causing injury or death shall be reported to the Department’s Contract Manager immediately. Notification shall be by telephone if during normal business hours and by e-mail during other times with a follow-up telephone call to the Contract Manager on the first business day following the event.

7. Correction plan. If the Certified Fire Inspector finds that the EOU does not comply with one or more requirements set forth in the applicable fire code, staff shall take immediate corrective action to bring the EOU into compliance with the applicable code.

a. The facility shall:

1) Record on file the date for a return inspection by the Certified Fire Inspector to

review the corrective actions;

2) After that date, record on file documentation by the Certified Fire Inspector that

all deficiencies have been corrected and that the facility is in full compliance with

all applicable codes; and

3) During the period of corrective action, take any actions necessary to ensure

the health and safety of individuals residing in the facility during the time the

repairs or corrections are being completed.

8. New facilities. If the facility has been in operation for less than one year, the documentation of compliance with applicable fire code shall be completed and signed by an architect licensed to practice in the state of Texas.  Certification of such compliance shall be based on the architect’s inspection of the facility completed after (or immediately prior to) the EOU begins operations.

9. Remodeled or renovated facilities. For major remodeling and renovations, the facility shall contract with an architect licensed to practice in the state of Texas. The architect shall ensure that the remodel and renovation project adheres to local building code requirements.

10. Vehicles. All vehicles used to transport individuals shall be maintained in safe driving condition.

a. Every vehicle used for transportation shall have a fully stocked first aid kit and an A:B:C fire extinguisher that is easily accessible.

b. Any vehicle used to transport an individual shall have appropriate insurance coverage.

11. Safety of individuals. The facility shall ensure that areas, bathrooms and other private or unsupervised areas used by individuals are free of materials that could be utilized by an individual to cause harm to self or others. Such items include but are not limited to, ropes, cords (including window blind cords), sharp objects, and substances that could be harmful if ingested.

a. Open flame heating devices shall be prohibited. All fuel burning heating devices shall be vented.

b. Working fireplaces are acceptable if they are of safe design and construction and if screened or otherwise enclosed.

12. The facility shall post an emergency evacuation floor plan.

13. The facility shall post 911 as the emergency contact conspicuously at or near the telephone.

**L. Infection Control**

1. Infection Control Each facility shall establish and maintain an infection control policy and procedure designated to provide a safe, sanitary, and comfortable environment and to help prevent the development and transmission of disease and infection.

a. The facility shall comply with departmental rules regarding special waste in 25 TAC

Chapter 1, Subchapter K.

b. The facility shall have written policies for the control of communicable disease in

staff and individuals, which includes tuberculosis screening and provision of a safe and

sanitary environment for individuals and staff.

2. Tuberculosis (TB) reporting requirement. The facility shall maintain evidence of compliance with local and/or state health codes or ordinances regarding staff and individual health status.

a. Individuals. The name of any individual of a facility with a reportable disease as

specified in 25 TAC Chapter 97, Subchapter A (Control of Communicable Diseases) shall

be reported immediately to the city health officer, county health officer, or health unit

director having jurisdiction and appropriate infection control procedures shall be

implemented as directed by the local health authority.

1. All individuals shall be screened for TB, upon admission and after exposure to

TB, and provided follow-up as needed.

1. The Department shall provide a TB screening questionnaire for admission use

upon request.

b. Employees. If staff contract a communicable disease that is transmissible to an

individual through food handling or direct care, the staff shall be excluded from providing

these services as long as a period of communicability is present.

1. The facility shall screen all staff for TB within two weeks of employment and

annually, according to Centers for Disease Control and Prevention’s (for CDC) Guidelines Preventing the Transmission of Mycobacterium tuberculosis in Health-Care Settings.

1. Anyone who provides services under an outside resource contract shall, upon

request of the facility, provide evidence of compliance with this requirement.

3. Universal Precautions. Universal precautions shall be used in the care of all individuals.

a. Staff who handle, store, process and transport linens shall do so in a manner that

prevents the spread of infection.

b. First aid kits shall be sufficient for the number of individuals served at the EOU.

1) Spill kits shall be immediately accessible to all staff.

2) Gloves shall be immediately accessible to all staff.

3) One-way, CPR masks shall be immediately available to all staff.

4) Particulate masks (surgical masks) shall be available to staff and individuals at

high risk for exposure to TB.

c. Sharps containers shall be puncture resistant, leak proof, and labeled.

1) Sharps containers shall not be overfilled.

2) Needles in the sharps containers shall not be capped or bent.

d. Disinfectants and externals shall be separated from internals and injectables.

e. Running water or dry-wash disinfectant shall be available to staff where sinks are not

readily available.

f. Staff shall be able to accurately describe:

1) The policy for handling a full sharps container;

2) The actions to take if exposed to blood or body fluids;

3) How to clean a blood or body-fluid spill; and

4) Be able to direct a surveyor to all protective equipment.

4. Poison Control phone numbers shall be posted throughout the EOU.

a. Information regarding Emergency Medical Treatment for Poisoning shall be available

to staff.

5. All medical materials shall be stored and labeled on shelves or in cabinets in accordance with

 policies and procedures.

a. The facility shall maintain a record indicating that staff regularly checks the

temperature in the refrigerator.

b. There shall be a thermometer in the refrigerator and temperatures shall be

maintained between 36 and 40 degrees Fahrenheit.

c. Refrigerators used to store medications shall be kept neat, clean and free of non-

pharmacy / non-medical items. Lab specimens shall be stored separately.

**M. Food Preparation and Food Service**

1. Meals. At least three meals or their equivalent shall be served daily, at regular times, with no more than a 16 hour span between a substantial evening meal and breakfast the following morning.

a. All facilities shall:

1) Provide a special diet when ordered;

2) Provide food and beverages to accommodate individuals who enter the facility;

3) Provide supplies of staple foods for a minimum of a four-day period and

perishable foods for a minimum of a one-day period shall be maintained on

premises; and

1. Contain a multi-compartment pot sink in the kitchen, large enough to immerse

pots and pans cookware and dishes used in the facility, and a mechanical dishwasher for washing and sanitizing dishes.

b. Meal preparation. In meal preparation, facilities shall:

1) Include provisions for the storage, refrigeration, preparation, and serving of

food, for dish and utensil cleaning, and for refuse storage and removal;

2) Provide a means for washing and sanitizing dishes and cooking utensils shall

be provided;

1. Prepare food for the individuals and the menus shall be prepared to provide a

balanced and nutritious diet, such as recommended by the National Food and Nutrition Board, and shall accommodate individual kosher dietary needs or other related dietary practice;

1. Pass an annual kitchen health inspection as required by law if the facility

prepares meals in a centralized kitchen on site and immediately address any deficiencies found during any health inspection;

5) Post the current food service permit from local health department; and

6) Meet the general food service needs of the individuals.

c. Facilities contracting food service. When meals are provided by a food service, a

written contract shall require the food service to comply with the rules referenced in (L.) of

the EOU portion of Information Item V and pass an annual kitchen health inspection as

required by law. The contracted food service shall:

1. Ensure the meals are transported to the EOU in temperature controlled

containers to ensure the food remains at the temperature at which it was prepared.

1. Ensure that at least one staff, at minimum, maintains a current food handler’s

permit.

2. Food storage.

a. Food subject to spoilage shall be dated.

b. Food storage areas shall provide storage for, and facilities shall maintain, a four-day

minimum supply of non-perishable foods at all times.

c. In kitchens and laundries, staff shall implement procedures to avoid cross-

contamination between clean and soiled utensils and linens.

d. Separation of soiled and clean dish areas shall be maintained, including air flow.

3. Food service. If the EOU prepares food, the EOU shall post the current food service permit

 from the local health department. **V. Crisis Residential Services**

#### A. Definition

Crisis residential services provide short-term, community-based residential, crisis treatment to persons who may pose some risk of harm to self or others and who may have fairly severe functional impairment. Crisis residential facilities provide a safe environment with staff on site at all times. However these facilities are designed to allow individuals who are receiving services in these facilities to come and go at will. Individuals served in these facilities must have at least a minimal level of engagement to be served in this environment. Utilization of these services is managed by the Local Mental Health Authority (LMHA) based on medical necessity. The recommended length of stay ranges from 1-14 days. Crisis residential facilities are distinct from Crisis Stabilization Units (CSUs) in that crisis residential facilities provide a less restrictive and less intensive level of care than CSUs and crisis residential facilities do not accept individuals who are court committed for treatment.

#### B. Goals

* Conduct or ensure that a comprehensive assessment has been conducted.
* Stabilize the immediate crisis
* Restore sufficient functioning to allow the individual to transfer to a less intensive level of care
* Provide the individual with critical coping skills to prevent or minimize relapse
* Mobilize individual/family/community resources and support systems
* Link the individual with continuing care and appropriate support services
* Prevent unnecessary hospitalization and assist the individual in maintaining residence in the community

#### C. Description

 Crisis residential treatment involves 24-hour residential services that are short-term. Crisis residential treatment is offered to individuals who are demonstrating psychiatric crises that cannot be stabilized in a less intensive setting. This level of care provides a safe environment to individuals with trained and competent staff on site at all times. However, there is only moderate/limited monitoring and reassessment of individuals to ensure safety. Crisis residential services may attempt to re-create a normalized environment (e.g., apartments, group and foster homes, and the individual’s own home). This normalized environment provides a venue for biological, psychological, and social interventions targeted at the current crisis while fostering community reintegration. A physician, (preferably a psychiatrist), or a psychiatric APN or PA and RN must be on site or readily accessible to provide face-to-face services either in person or via telemedicine (as appropriate).

Psychosocial programming shall be provided as medically necessary and should focus on a range of topics that includes but is not limited to: problem-solving, communication skills, anger management, community re-integration skills, as well as co-occurring psychiatric and substance use diagnosis issues. Individual counseling shall also be provided as necessary. Individuals should have enough medication on arrival to ensure psychiatric and medical stabilization for at least 3 days and a process must exist to obtain medical and psychiatric medications as needed by the individual. The availability of crisis residential services is dependent on LMHA funding for these types of services. The recommended maximum length of stay is 14 days and the average anticipated length of stay is between 3 and 7 days.

#### D. Standards

**1. Availability**

a. If provided, this service shall be available 24 hours a day, seven days a week to individuals in crisis in the local service area.

b. Admission to crisis residential shall be determined by the LMHA and based on medical necessity as determined by a Licensed Practitioner of the Healing Arts (LPHA).

c. When appropriate, the LPHA may use telemedicine to make the determination of need for admission.

**2. Physical Plant**

a. If the LMHA holds an Assisted Living Type A license, the facility will be accepted as "deemed status" by HHSC, and any Quality Management and Compliance reviews will entail only programmatic elements.

b. Crisis residential service units shall provide a clean and safe environment.

c. Crisis residential services shall create as normalized an environment as possible.

d. Crisis residential services units shall not be designed to prevent elopement and shall not use locks, mechanical restraints or other mechanical mechanisms to prevent elopement from the facility.

e. All medications shall be securely stored.

**3. General Facility Environment**

1. Waste water and sewage shall be discharged into an approved sewage system or an onsite sewage facility approved by the Texas Commission on Environmental Quality or its authorized agent.
2. The water supply shall be of safe, sanitary quality, suitable for use and adequate in quantity and pressure, and must be obtained from a water supply system
3. Waste, trash and garbage shall be disposed of from the premises at regular intervals in accordance with state and local practices. Excessive accumulations shall not be permitted. The facility shall comply with 25 TAC Subsection 1.131-1.137 (concerning Definition, Treatment, and Disposal of Special Waste from Health Care Related Facilities).
4. Operable windows shall be insect screened.
5. An ongoing pest control program shall be provided by facility staff or by contract with a licensed pest control company. The least toxic and least flammable effective chemicals shall be used.
6. In kitchens and laundries, facility staff shall use procedures to avoid cross-contamination between clean and soiled utensils and linens.
7. The facility shall be kept free of accumulations of dirt, rubbish, dust and hazards.
8. Floors shall be maintained in good condition and cleaned regularly.
9. Walls and ceilings shall be structurally maintained, repaired and repainted or cleaned as needed.
10. Storage areas and cellars shall be kept in an organized manner.
11. Storage shall not be permitted in the attic spaces.
12. The building shall be kept in good repair, and electrical, heating and cooling systems shall be maintained in a safe manner.
13. There shall be at least one telephone in the facility available to both staff and consumers for use in case of an emergency.
14. Cooling and heating shall be provided for occupant comfort. Conditioning systems shall be capable of maintaining the comfort range of 68 degrees Fahrenheit to 82 degrees Fahrenheit in consumer-use areas.
15. A bedroom shall have no more than four beds.
16. The facility shall provide for each consumer a bed with mattress, bedding, chair, dresser (or other drawer space), and enclosed closet or other comparable space for clothing and personal belongings
17. Furnishings provided by the facility shall be maintained in good repair.
18. At least one water closet, lavatory, and bathing unit shall be provided on each sleeping floor accessible to consumers of that floor.
19. One water closet and one lavatory for each six occupants or fraction thereof shall be provided. One tub or shower for each ten occupants or fraction thereof shall be provided.
20. Privacy partitions and or curtains shall be provided at water closets and bathing units in rooms for multi-consumer use.
21. Tubs and showers shall have non-slip bottoms or floor surfaces, either built-in or applied to the surface.
22. Consumer-use hot water for lavatories and bathing units shall be maintained between 100 degrees Fahrenheit and 120 degrees Fahrenheit.
23. Towels, soap and toilet tissue shall be available at all times for individual consumer use.
24. The facility shall provide sufficient and appropriate separate storage spaces or areas for the following:

1) Administration and clinical records;

2) Office supplies;

3) Medications and medical supplies (these areas shall be locked);

4) Poisons and other hazardous materials (these shall be kept in a locked area and must be kept separate from all food and medications;

5) Food preparation (if the facility prepares food); and

6) Equipment supplied by the facility for consumer needs such as wheelchairs, walkers, beds, mattresses, cleaning supplies, food storage, clean linens and towels, lawn and maintenance equipment, soiled linen storage or holding rooms, and kitchen equipment etc.

y. A supply of hot and cold water shall be provided. Hot water for sanitizing shall reach 180 degrees F. or manufacturers suggested temperature for chemical sanitizers.

z. Food storage areas shall provide storage for, and facilities must maintain, a four-day minimum supply of non-perishable foods at all times.

aa. Food subject to spoilage shall be dated.

ab. A large facility (i.e., a facility with more than 16 beds) which co-mingles and processes laundry on-site in a central location shall comply with the following:

1) The laundry shall be separated and provided with sprinkler protection if located in the main building. (Separation shall consist of a one-hour fire rated partition carried to the underside of the floor or roof deck above.)

2) Access doors to the laundry area shall be from the exterior of the facility or if from within the building by, way of non-consumer use areas.

3) Soiled linen receiving, holding and sorting rooms shall have a floor drain and forced exhaust to the exterior shall operate at all times that soiled linen being held in this area.

ac. If laundry is processed off the site, the following shall be provided on the premises: soiled

 linen holding room, clean linen receiving, holding, inspecting, sorting or folding and storage room.

ad. Consumer-use laundry, if provided, shall utilize residential type washers and dryers. If more than three washers and three dryers are located in one space, the area shall be one-hour fire separated or provided with sprinkler protection.

ae. Smoking regulations shall be established and if smoking is permitted, outdoor smoking areas may be designated for consumers. Ashtrays of noncombustible material and safe design shall be provided in smoking areas.

af. Social-divisional spaces such as living rooms, day rooms, lounges, or sunrooms shall be provided and have appropriate furniture.

ag. Dining areas shall be provided and have appropriate furnishings.

ah. Only break-away or collapsible clothes bars in wardrobes, lockers, towel bars, and closets and shower curtain rods shall be permitted.

ai. Bedrooms, private spaces, unsupervised social spaces and unsupervised common areas shall not contain any cords, ropes or other materials that could effectively be used by an individual for purposes of inflicting self-harm.

**4. Accessibility (ADA Compliance)**

Crisis residential facilities shall comply with ADAAG / TAS, and all applicable sections of the Texas Administrative Code.

**5. Postings**

a. The facility shall ensure that there is a list in or near or within the medication room stating the names of all staff that can have access to the medication room.

b. The facility shall post 911 as the emergency contact conspicuously at or near the telephone.

c. If smoking areas are permitted, the facility shall ensure that they are clearly marked as designated smoking areas.

d. The facility shall post a notice that prohibits alcohol, illegal drugs, illegal activities, violence, and weapons, including but not limited to knives, shanks, brass knuckles, and switchblades on the program site.

e. The facility shall post an emergency evacuation floor plan.

f. The following shall be prominently displayed in areas frequented by the consumers:

1) Contact information for the Rights Protection Officer;

2) Contact information with instructions on how to make an abuse/neglect report, toll-free number for reporting abuse and neglect; and

3) A notice stating the name, address, telephone number, TDD/TTY telephone number, FAX, and e-mail address of the person responsible for ADA compliance.

g. Postings shall be displayed in English and in a second language(s) appropriate to the population(s) served in the local service area.

h. If the facility prepares food, the facility shall post the current food service permit from the local health department.

**6. Safety**

a. The facility shall comply with the most recent edition of the National Fire Protection Association’s Life Safety Code (NFPA 101) as adopted by the State Fire Marshal, or with the International Fire Code (IFC). Determination of the specific code to be applied is determined by the local fire authorities having jurisdiction.

b. All facilities shall be classified as to type of occupancy and incorporate all life safety protections set forth in the applicable code.

c. Facilities shall maintain continuous compliance with the life safety requirements set forth in the applicable chapters of the code.

d. The facility shall conduct fire drills and, when applicable, calculate evacuation scores in accordance with the fire code under which the facility is inspected.

e. Facilities shall provide a safe environment, participate in required inspections, and keep a current file of reports and other documentation to demonstrate compliance with applicable laws and regulations. Files and records that record annual or quarterly or other periodic inspections shall be signed and dated.

f. Initial and ongoing inspections for compliance with the applicable code shall be conducted by a fire safety inspector certified by the Texas Commission on Fire Protection or by the State fire marshal. The facility is responsible for arranging these inspections and for ensuring that these inspections are carried out in a timely manner. The initial and ongoing fire safety reports shall be signed by the certified inspector performing inspection. These reports shall be kept on file and be readily available for review by the state.

g. If the Certified Fire Inspector finds that the facility does not comply with one or more requirements set forth in the applicable fire code, facility staff shall take immediate corrective action to bring the facility into compliance with the applicable code. The facility shall have on file a date for a return inspection by the Certified Fire Inspector to review the corrective actions. After that date, the facility must have on file documentation by the Certified Fire Inspector that all shall have been corrected and that the facility is in full compliance with all applicable codes. During the period of corrective action, the facility shall take any steps necessary to ensure the health and safety of individuals residing in the facility during the time the repairs or corrections are being completed.

h. If the facility has been in operation for less than one year, the documentation of compliance with the applicable fire code may be completed and signed by an architect licensed to practice in the State of Texas.  Such certification shall be based on the architect’s inspection of the facility completed after (or immediately prior to) the commencement of operation as a crisis residential or crisis respite facility. If the facility has been remodeled or renovated the inspection by the architect shall have been conducted after the remodeling or renovation was completed.

i. The following initial and annual inspections are required and shall be kept on file:

1) Local Fire safety as outlined in 6.f., above;

2) Alarm system by the fire marshal or an inspector authorized to install and inspect alarm systems;

3) Annual kitchen inspection by the local health authority or the Department of State Health Services;

4) Gas pipe pressure test once every three years by the local gas company or a licensed plumber;

5) Inspection and maintenance of fire extinguishers by personnel licensed or certified to perform the inspection; and

6) (If applicable) inspection of liquefied petroleum gas systems by an inspector certified by the Texas Railroad Commission.

j. All fires causing damage to the crisis residential service unit or to equipment shall be reported to the HHSC Contract Manager with 72 hours. Any fire causing injury or death shall be reported to the HHSC Contract Manager immediately. Notification shall be by telephone if during normal business hours and by e-mail during other times with a follow-up telephone call to the Contract Manager on the first business day following the event.

k. All facilities shall post emergency evacuation floor plans.

l. The administration shall have in effect and available to all supervisory personnel written copies of a plan for the protection of all persons in the event of fire and for their remaining in place, for their evacuation to areas of refuge, and from the building when necessary. The plan shall include special staff actions including fire protection procedures needed to ensure the safety of any resident and must be amended or revised when needed. All employees shall be periodically instructed and kept informed with respect to their duties and responsibilities under the plan. A copy of the plan shall be readily available at all times within the facility. This written plan shall require documentation that reflects the current evacuation capabilities of the consumers.

m. Open flame heating devices shall be prohibited. All fuel burning heating devices shall be vented. Working fireplaces are acceptable if of safe design and construction and if screened or otherwise enclosed.

n. All vehicles used to transport consumers shall be maintained in safe driving condition.

o. Every vehicle used for consumer transportation shall have a fully stocked first aid kit and an A:B:C type fire extinguisher that are easily accessible.

p. Any vehicle used to transport a consumer shall have appropriate insurance coverage..

q. The facility shall ensure that consumer bedrooms, bath rooms and other private or unsupervised areas are free of materials that could be utilized by a consumer to cause harm to self or others. Such items include but are not limited to, ropes, cords (including window blind cords), sharp objects, and substances that could be harmful if ingested.

r. The facility shall not admit individuals whose needs can not be effectively addressed in the facility. Individuals requiring a greater or lesser level of care shall be referred to a more appropriate level of care.

**7. Infection Control**

a. Each facility shall establish and maintain an infection control policy and procedure designated to provide a safe, sanitary, and comfortable environment and to help prevent the development and transmission of disease and infection.

b. The facility shall comply with departmental rules regarding special waste in 25 TAC §§1.131-1.137.

c. The facility shall have written policies for the control of communicable disease in employees and consumers, which includes tuberculosis screening and provision of a safe and sanitary environment for consumers and employees. The name of any consumer of a facility with a reportable disease as specified in 25 TAC §§97.1-97.13 (Control of Communicable Diseases) shall be reported immediately to the city health officer, county health officer, or health unit director having jurisdiction and appropriate infection control procedures must be implemented as directed by the local health authority.

d. If employees contract a communicable disease that is transmissible to consumers through food handling or direct consumer care, the employee shall be excluded from providing these services as long as a period of communicability is present.

e. The facility shall maintain evidence of compliance with local and/or state health codes or ordinances regarding employee and consumer health status.

f. The facility shall screen all employees for TB within two weeks of employment and annually, according to Centers for Disease Control and Prevention’s (CDC) Guidelines for Preventing the Transmission of Mycobacterium tuberculosis in Health-Care Settings. All persons who provide services under an outside resource contract shall, upon request of the facility, provide evidence of compliance with this requirement.

g. All consumers shall be screened upon admission and after exposure to tuberculosis and provided follow-up as needed. HHSC will provide TB screening questionnaire for admission screening upon request.

h. Personnel who handle, store, process and transport linens shall do so in a manner that prevents the spread of infection.

i. Universal precautions shall be used in the care of all consumers.

j. First Aid Kits shall be sufficient for the number of consumers served at the site.

k. Gloves shall be immediately accessible to all staff.

l. One-way, CPR masks shall be immediately available to all staff.

m. Spill Kits shall be immediately accessible to all staff.

n. Running water or dry-wash disinfectant shall be available to staff where sinks are not easily available.

o. Sharps containers shall be puncture resistant, leak proof and labeled.

p. Sharps containers shall not be overfilled.

q. Needles in the sharps containers shall not be capped or bent.

r. Staff shall be able to accurately describe the policy for handling a full sharps container.

s. Particulate masks (surgical masks) shall be available to staff and individuals at high risk for exposure to TB.

t. Staff shall be able to describe the actions to take if exposed to blood or body fluids.

u. Staff shall be able to describe how to clean a blood or body-fluid spill.

v. Staff shall be able to direct surveyor to all protective equipment.

w. Poison Control phone numbers shall be posted throughout the Center.

x. Information regarding Emergency Medical Treatment for Poisoning shall be available to staff.

y. All medical materials shall be properly stored on shelves or in cabinets that shall be correctly labeled.

z. Disinfectants and externals shall be separated from internals and injectables.

aa. Medications that require special climatic conditions (e.g. refrigeration, darkness, tightly sealed, etc.) shall be stored properly.

ab. There shall be a thermometer in the refrigerator.

ac. Recorded refrigerator temperatures shall be maintained between 36 and 40 degrees Fahrenheit.

ad. Animals housed at the facility or visiting the facility shall be properly vaccinated and supervised.

**8. Medication Management**

a. All facilities that provide or store consumer medication during the length of stay shall implement written procedures for medication storage, administration, documentation, inventory, and disposal.

b. The facility shall maintain a record indicating that staff regularly checks the temperature in the refrigerator.

c. Refrigerators used to store medications shall be kept neat, clean and free of non-pharmacy / non-medical items. (Lab specimens shall be stored separately.)

d. The facility shall ensure that there are no expired, recalled, deteriorated, broken, contaminated or mislabeled drugs present.

e. Individuals shall not be allowed to retain their own medications while in the facility.

f. Medications that are kept on-site shall be kept locked at all times.

g. Controlled substances shall be approved by a physician employed by or contracting with the facility or Community MHMR Center that operates the facility.

h. Controlled substances shall be stored under double locks.

i. Staff shall be able to provide a copy of the most recent stock inspection.

j. The facility management shall ensure that only licensed medical staff members have access to medications administered to individuals.

k. The facility management shall maintain a current list in the medications room of all practitioners who are allowed to prescribe medications that are administered from the medications room.

l. The facility management shall maintain a current list in the medication room of all staff allowed to administer medications to consumers.

m. The facility management shall ensure that staff does not ever transfer medications from one container to another. Consumers may independently transfer their own medications from a bottle to a daily medication reminder.

n. Medication labels shall not be handwritten or changed.

o. There shall be a medication guide, (e.g. Physician’s Desk Reference (PDR) or similar publication) that is available to staff.

p. The PDR shall be current (i.e., an edition published within the previous 2 years).

q. The facility shall maintain an Emergency Medication Kit.

r. The medications in the emergency medication kit shall be monitored with a perpetual inventory and make use of breakaway seals.

s. The medication kit shall contain medications and other equipment as specified by the facility medical director. This generally includes but is not limited to short acting neuroleptics, anti-Parkinsonian medications, and anti-anxiety medications

t. There shall be evidence in the clinical records that consumers are educated about their medications whenever medications are prescribed or changed.

**9. Food Preparation and Food Service**

a. If the facility prepares meals in a centralized kitchen on site, it shall pass an annual kitchen health inspection as required by law. The facility shall immediately address any deficiencies found during any health inspection. The facility shall post the current food service permit from local health department.

b. If providing nutrition services, the kitchen or dietary area shall meet the general food service needs of the consumers. It shall include provisions for the storage, refrigeration, preparation, and serving of food, for dish and utensil cleaning, and for refuse storage and removal. Exception: Food may be prepared off-site or in a separate building provided that the food is served at the proper temperature and transported in a sanitary manner.

c. All facilities shall provide a means for washing and sanitizing dishes and cooking utensils must be provided. The kitchen shall contain a multi-compartment pot sink large enough to immerse pots and pans cookware and dishes used in the facility, and a mechanical dishwasher for washing and sanitizing dishes. Separation of soiled and clean dish areas shall be maintained, including air flow.

d. In facilities that prepare meals for consumers, at least three meals or their equivalent shall be served daily, at regular times, with no more than a 16-hour span between a substantial evening meal and breakfast the following morning.

e. In all facilities, when therapeutic diets as are ordered they shall be provided by the facility.

f. In facilities that prepare food for the consumers, the menus shall be prepared to provide a balanced and nutritious diet, such as recommended by the National Food and Nutrition Board, and will accommodate consumer kosher dietary needs or other related dietary practice.

g. In facilities where consumers prepare their own food:

1) The facility shall ensure that a variety of foods are available for each meal to allow consumer’s to have a choice of foods for to prepare for each meal;

2) The facility shall ensure that the foods available are nutritious and well balanced such as recommended by the National Food and Nutrition Board and shall accommodate consumer kosher dietary needs or other related dietary practice;

3) Food for at least 3 meals shall be provided daily for consumers to prepare;

4) If consumers require special dietary items, the facility shall ensure that such items are provided to the consumer; and

5) Regular food preparation and mealtimes shall be established by the facility.

h. In all facilities, food and beverage shall be available to accommodate consumers who enter the facility after established meal times.

i. In all facilities, supplies of staple foods for a minimum of a four-day period and perishable foods for a minimum of a one-day period shall be maintained on premises. Food subject to spoilage shall be dated.

j. When meals are provided by a food service, a written contract shall require the food service to: comply with the rules referenced in this Information Item V, and pass an annual kitchen health inspection as required by law. The facility shall ensure the meals are transported to the facility in temperature controlled containers to ensure the food remains at the temperature at which it was prepared. The facility shall ensure that at least one facility staff, at minimum, maintains a current food handler’s permit.

**10. Staffing**

a. A psychiatrist shall serve as the medical director for all crisis services and must approve all written procedures and protocols. Duties and responsibilities for all staff involved in the assessment or treatment of individuals shall be defined in writing by the medical director and be appropriate to staff training and experience, and in conformance with the staff member’s scope of practice (if applicable) and state standards for privileging and credentialing.

b. The competence of all staff shall be continuously evaluated, monitored during the actual delivery of services and continually enhanced to address the unique needs of consumers in different settings and situations.

c. An on-call roster of clinical (QMHP-CS and above) and nursing (RN and LVN) staff shall be maintained and a process must be in place for assessing and anticipating staffing needs to ensure clinical or nursing staff members are on-site at all times.

d. Trained and competent professional staff (i.e. QMHPs) shall provide staff coverage during the first and second shifts.

e. Trained and competent paraprofessional staff (i.e. non-licensed staff with less than a bachelors degree in a human services field) may used on the third (i.e., overnight) shift.

f. Staff on duty shall remain awake and alert at all times.

g. An LPHA shall be immediately available during the day and shall be responsible for ensuring the individual is provided active treatment defined in a crisis plan.

h. There shall be a sufficient number of trained staff available to ensure that when individuals show signs of agitation there is immediate verbal intervention.

i. No fewer than two staff members, trained in verbal and physical management of assaultive/aggressive behavior, shall be on site at all times to ensure a safe environment. When indicated by acuity and/or increased census, the number of staff trained in the verbal and physical management of assaultive/aggressive behavior shall be increased to a level that is sufficient to ensure the safety of all consumers and staff in the facility.

j. When one-on-one supervision of one or more individuals is indicated, the facility shall ensure that there is sufficient staff on site to provide such supervision.

k. At least one LPHA shall be available to conduct patient interviews and initiate a full assessment within eight hours of presentation to the unit or sooner when indicated.

l. Active psychosocial programming shall be provided for at least 4 hours per day.

m. Post admission, a physician (preferably a psychiatrist) or a psychiatric APN or PA shall see every individual at least once per week, or more frequently as clinically indicated, and be on call 24 hours a day to evaluate individuals as needed and to provide supervision and consultation.

n. An RN shall be on call for emergencies, supervision and consultation 24 hours a day.

o. A physician (preferably a psychiatrist), a psychiatric APN, a PA or an RN shall be on site or readily accessible to provide services either in person (or via telemedicine when appropriate).

p. If a physician is not already on site, the physician (preferably a psychiatrist) or a psychiatric APN or PA shall be available to provide face-to-face services or via telemedicine when appropriate within one hour.

q. If a RN is not on site, the RN shall be available to provide face-to-face services as soon as practically possible

r. Facility staff shall take whatever measures are necessary to ensure the safety and well being during the time the physician or RN is in route to provide needed services.

s. Staff shall not provide or facilitate consumer access to tobacco products.

**11. Assessment**

a. Full Assessment

1) Prior to admission to the crisis residential unit, individuals shall receive a full psychiatric assessment by a physician (preferably a psychiatrist) or a psychiatric APN or PA within 24 hours of the individual’s presentation to the service if not referred directly from an active inpatient unit or psychiatric emergency service.

2) A written process shall be implemented that ensures that those who require a full psychiatric assessment more quickly can be seen and assessed within 8 hours of initial presentation.

3) Individuals not currently in services, or for whom the health status is unknown, shall receive a comprehensive nursing assessment by an RN within 1 hour of presentation.

b. Assessment Process

1) The assessment process includes patient interviews by LPHAs or PAs;

2) When indicated and as appropriate, telemedicine may be used to conduct assessments.

3) The assessment process shall include a review of available records of past treatment;

4) The assessment process shall gather and incorporate:

a) Proactive history from family and collateral sources and in keeping with laws on confidentiality;

b) The assessment shall include contact with the current behavioral health providers whenever possible and in keeping with laws on confidentiality;

c) A psychiatric diagnostic assessment which addresses any medical conditions that may cause similar symptoms or complicate the patient’s condition;

d) Identification of social, environmental, and cultural factors that may be contributing to the emergency;

e) An assessment of the individual’s ability and willingness to cooperate with treatment;

f) A history of previous treatment and the response to that treatment that includes a record of past psychiatric medications, dose, response, side effects and compliance, and an up-to-date record of all medications currently prescribed, and the name of the prescribing practitioner;

g) A general medical history that addresses conditions that may affect the patient’s current condition (including a review of symptoms focused on conditions that may present with psychiatric symptoms or that may cause cognitive impairment, e.g., a history of recent physical trauma);

h) A detailed assessment of substance use or abuse conducted by an individual trained in assessing substance related disorders;

I) An assessment for trauma, abuse or neglect by trained clinical staff, preferably an LPHA, with training in this assessment; and

j) A physical health assessment as outlined below.

5) Physical Health Assessment

a) Individuals shall receive a physical health assessment by a physician (preferably a psychiatrist) or a psychiatric APN or PA, or an RN, within two hours of entering a crisis residential unit unless:

i. Such an assessment was already conducted within the last week; and

ii. There are no recent changes or other indications that another assessment may be warranted.

b) This evaluation shall include assessment of medical and psychiatric stability, capability to self-administer medication, vital signs, pain, and dangerousness to self or others.

c) The initial evaluation for physical health shall be performed as ordered, by a physician (preferably a psychiatrist) or a psychiatric APN or PA and generally includes, but is not necessarily limited to:

i. Vital signs;

ii. A cognitive examination that screens for significant cognitive or neuropsychiatric impairment;

iii. A screening neurological examination that is adequate to rule out significant acute pathology;

iv. A medical history and review of symptoms;

v. A pregnancy test (for females of child bearing age);

vi. A toxicology evaluation;

vii. Blood levels of psychiatric medications that have established therapeutic or toxic ranges; and

viii. Other tests and examinations including rapid toxicology testing as appropriate and indicated.

d) Access to phlebotomy and laboratory studies shall be provided.

e) Immediate access to urgent and emergent non-psychiatric medical assessment and treatment shall be provided.

f) Screening for intoxication and, when indicated, screening for symptoms and complications of substance withdrawal shall be provided.

**12. Interventions**

a. Upon admission but no later than 24 hours, every individual shall receive an orientation that explains facility rules and expectations, explains patients’ rights and the grievance policy, and describes the schedule of activities.

b. A written protocol shall be developed and implemented that specifies the most effective and least restrictive approaches to common behavioral health emergencies seen in the service and is approved by the clinical director. The written protocol shall be reviewed and updated as needed.

c. An individual crisis treatment plan shall be developed for each individual that provides the most effective and least restrictive treatment for the individual’s behavioral health disorder. This information shall be shared with the individual and the individual’s family, as appropriate. The plan shall be based on the provisional psychiatric diagnosis and incorporates, to the maximum extent possible, individual preferences.

d. An array of treatment interventions may exist in the crisis residential setting in order to stabilize acute psychiatric symptoms or prevent admission to a more restrictive setting. A minimum of four hours per day of such programming shall be available and shall be provided*.* Services should be goal-oriented and focus on reality orientation, symptom reduction and management, appropriate social behavior, improving peer interactions, improving stress tolerance, and the development of coping skills; and may consist of the following component services: psychiatric nursing services, pharmacological instruction, symptom management training, and functional skills training. The programming requirements may be fulfilled through the provision of individual crisis intervention services or by providing group services. Group services may be delivered by LOC assignment or through the provision of Day Programs for Acute Needs as specified in 25 TAC §419 L. Individuals who have significant substance abuse co-morbidity must receive counseling designed to motivate the patient to continue with substance abuse treatment following discharge from the program.

e. Individuals shall not be denied access to social, community, recreational, and religious activities that are consistent with the individual’s cultural and spiritual background.

f. The program shall provide a stable therapeutic environment that includes consistently assigned personnel and consistently scheduled activities.

g. Individuals should practice self-administration of medication under supervision. When needed, same-day access to medications shall be available and staff members shall provide medication education.

**13. Coordination and Continuity of Care**

a. Coordination of emergency services shall be provided for every individual. Coordination of emergency services includes but is not limited to identifying and linking the individual with all available services necessary to stabilize the crisis, ensuring transition to routine care, providing necessary assistance in accessing those services, and conducting follow-up to determine the individual’s status and need for further service.

b. A written policy shall be in place that defines the steps to be taken to ensure that every effort is made to contact existing treatment providers during the course of the individual’s assessment in the service.

c. A written procedure shall be developed and implemented to ensure continuity of care and successful linkage with the referral facility or provider.

d. A discharge plan shall be developed for every individual, and shall include:

1) Appropriate education relevant to the individual’s condition;

2) Information about the most effective treatment for the individual’s behavioral health disorder;

3) Identification of potential obstacles to a successful return to the community and means to address these obstacles; and

4) Information about follow-up care, and appropriate linkages to post discharge providers.

**VI. Crisis Respite Services**

#### A. Definition

In contrast with crisis residential services, crisis respite services provide short-term, community-based residential, crisis treatment to persons who have low risk of harm to self or others and may have some functional impairment who require direct supervision and care but do not require hospitalization. These services can occur in houses, apartments, or other community living situations and generally serve individuals with housing challenges or assist caretakers who need short-term housing or supervision for the persons for whom they care to avoid a mental health crisis. Utilization of these services is managed by the LMHA based on medical necessity. Crisis respite services may occur over a relatively brief period of time, such as a 2-hour service to allow a caretaker to complete necessary tasks or on a full day basis.

#### B. Goals

* Avoid an impending crisis due to housing challenges or other identified stressors in the family.
* Provide short-term assistance to caregivers of the consumer to minimize the need for a more restrictive service setting.
* Provide the consumer with appropriate supervision and assistance in a non-stressful environment
* Prevent unnecessary hospitalization and assist the individual in maintaining residence in the community

#### C. Description

Crisis respite treatment involves hourly or 24-hour care that is usually short-term and offered to individuals who are at risk of psychiatric crises due to a housing challenge and/or severe stressors in the family, but are at low risk of harm to self or others. Individuals must be able to cooperate with staff support, but functioning is only mildly impaired. If substance use is suspected that causes more than mild impairment this would not be an appropriate placement. There shall be defined processes in place to address substance use issues. Mild medical co-morbidity (as specified and approved by the facility medical director) is allowed while individual is taking his/her medications. Crisis respite units shall create a normalized environment (e.g., apartments, group and foster homes, and the individual’s own home). This normalized environment provides a venue for biological, psychological, and social interventions targeted at the current crisis while fostering community reintegration. During facility-based respite, individual and group skills training are provided and are based on the needs of the individual and the goals of their individual crisis plans. Limited supervision shall be provided by trained and competent paraprofessionals. Individuals shall be able to perform their own activities of daily living. With staff supervision, individuals shall be able to self-administer medication. Individuals should have enough medications upon arrival to ensure psychiatric and medical stabilization for the expected length of stay. There are procedures in place to obtain medications for individuals when needed. The primary objective of crisis respite services is stabilization and resolution of a crisis situation for the individual and/or the individual’s caregiver(s). Crisis respite is both facility-based and in-home, and may be available for children, youths, and adults. The availability of facility-based respite units is dependent on LMHA funding for this type of respite.

#### D. Standards

**1. Availability**

a. When offered, this service shall be available 24 hours a day, seven days a week and respite services shall be made available to individuals throughout the local service area.

b. Admission to crisis respite shall be determined by the LMHA and shall be based on a medical necessity determination by an LPHA

**2. Physical Plant**

a. For facility-based crisis respite, if the LMHA holds an Assisted Living Type A license, the facility will be accepted as "deemed status” by HHSC, and any Quality Management and Compliance reviews will entail only programmatic elements.

b. Shall provide a clean and safe environment.

c. Shall create a normalized environment.

d. Crisis respite services units are not designed to prevent elopement and shall not use locks, mechanical restraints or other mechanical mechanisms to prevent elopement from the facility.

e. All medications shall be securely stored.

f. Contracted residential treatment centers or foster care homes that serve children and are used for crisis respite are subject to licensing regulations of the Department of Family and Protective Services (DFPS).

**3. General Facility Environment**

a. A Crisis Respite Facility shall have 100% of its beds in bedrooms of four beds or less.

b. When crisis respite services are provided at a residential or crisis triage facility of the LMHA, the facility shall meet the Standards as described in Information Item V. Section D. Crisis Residential Services Item 3, General Facility Environment.

**4. Accessibility (ADA Compliance)**

Crisis respite facilities shall comply with ADAAG / TAS, and all applicable sections of the Texas Administrative Code.

**5. Postings**

a. There shall be a list in or immediately outside of the medication room stating the names of all staff that have access to the medication room.

b. The facility shall post 911 as the emergency contact conspicuously at or near the telephone.

c. If smoking areas are permitted, they shall be clearly marked as designated smoking areas.

d. The facility shall post a notice that prohibits alcohol, illegal drugs, illegal activities, violence, and weapons, including but not limited to knives, shanks, brass knuckles, and switchblades on the program site.

e. The following shall be prominently displayed in areas frequented by the consumers: contact information for the Rights Protection Officer, contact information with instructions on how to make an abuse/neglect report, toll-free number for reporting abuse and neglect, a notice stating the name, address, telephone number, TDD/TTY telephone number, FAX, and e-mail address of the person responsible for ADA compliance.

f. If the facility prepares food, the facility shall post the current food service permit from the local health department.

g. Postings shall be displayed in English and in a second language(s) appropriate to the population(s) served in the local service area.

**6. Safety**

When crisis respite services are provided at a residential or crisis triage facility of the LMHA, the facility shall meet the Standards as described in Information Item V. Section D. Crisis Residential Services Item 6, Safety.

**7. Infection Control**

When crisis respite services are provided at a residential or crisis triage facility of the LMHA, the facility shall meet the Standards as described in Information Item V. Section D. Crisis Residential Services Item 7, Infection Control.

**8. Medication Management**

When crisis respite services are provided at a residential or crisis triage facility of the LMHA, the facility shall follow the Standards as described in Information Item V. Section D. Crisis Residential Services Item 8, Medication Management, except for D.8.q. An Emergency Medication Kit should be maintained if the facility contains the staff qualified to handle such medications.

**9. Food Preparation and Food Service**

When crisis respite services are provided at a residential or crisis triage facility of the

LMHA, the facility shall meet the Standards as described in Information Item V. Section D.

Crisis Residential Services Item 9, Food Preparation and Food Service.

**10. Staffing for Facility-based Crisis Respite**

a. A psychiatrist shall serve as the medical director for all crisis services and shall approve all written procedures and protocols. Duties and responsibilities for all staff involved in the assessment or treatment of individuals shall be defined in writing by the medical director and be appropriate to staff training and experience, and in conformance with the staff member’s scope of practice (if applicable) and state standards for privileging and credentialing.

b. The competence of all crisis respite staff members shall be continuously evaluated, monitored and expanded.

c. There shall be a process for assessing and anticipating staffing needs.

d. Staff members on duty shall remain awake and alert at all times.

e. There shall be a defined process for on-site staff to obtain supervision, consultation, and evaluation when needed for medical emergencies 24 hours a day from a physician (preferably a psychiatrist), a psychiatric APN, a PA or an RN. For clinical emergencies an RN or LPHA shall be accessible.

f. Trained and competent paraprofessionals shall be on site 24 hours a day, with numbers, qualifications, and training sufficient to ensure patient and staff safety and the provision of needed services.

g. Staff members shall be trained in CPR, management of seizures, choking, and first aid as well as crisis respite protocols and procedures, and supervision of self-administration of medications.

h. Staff members providing in-home crisis respite services to children or youths shall be trained paraprofessionals competent to provide crisis services to children and youths.

i. Staff shall not provide or facilitate consumer access to tobacco products

**11. Assessment**

a. Prior to admission to crisis respite services individuals shall receive a full crisis assessment by a physician (preferably a psychiatrist) or a psychiatric APN or PA, LPHA, RN or QMHP-CS.

b. Immediate access to urgent and emergent non-psychiatric medical assessment and treatment shall be provided.

**12. Interventions for Facility-based Crisis Respite**

a. Upon admission, every individual shall receive an orientation that explains rules and expectations, explains patients’ rights and the grievance policy, and describes the schedule of any activities.

b. Immediate care to stabilize a behavioral health emergency (e.g., to prevent harm to the individual or to others) shall be accessible at all times.

c. A written protocol shall be developed and implemented that specifies the most effective and least restrictive approaches to common behavioral health emergencies seen in the service and is approved by the medical director. The protocol shall be reviewed and updated as needed.

d. An individual crisis treatment plan shall be followed for each individual that provides the most effective and least restrictive treatment for the individual’s behavioral health disorder. This information shall be shared with the individual and the individual’s family, as appropriate. The plan shall be developed by qualified crisis staff and shall be based on the provisional psychiatric diagnosis and must incorporate, to the maximum extent possible, individual preferences.

e. An array of treatment interventions shall be provided in the crisis respite setting in order to stabilize acute psychiatric symptoms or prevent admission to a more restrictive setting. Services should be goal-oriented and based on the individual’s needs and individual crisis plan. Services should focus on reality orientation, symptom reduction and management, appropriate social behavior, improving peer interactions, improving stress tolerance, and the development of coping skills; and may consist of the following component services: psychiatric nursing services, pharmacological instruction, symptom management training, and functional skills training. The programming requirements may be fulfilled through the provision of individual crisis intervention services or by providing group services. Group services may be delivered by LOC assignment or through the provision of Day Programs for Acute Needs as specified in 25 TAC Chapter 419, Subchapter L. Individuals who have significant substance abuse co-morbidity shall receive counseling designed to motivate the patient to continue with substance abuse treatment following discharge from the program.

f. Each consumer’s response to treatment shall be reassessed daily by staff. This response shall be reflected in an updated crisis treatment plan.

g. Individuals shall not be denied access to social, community, recreational, and religious activities that are consistent with the individual’s cultural and spiritual background.

h. Facility-based crisis respite units shall maintain a stable therapeutic environment that includes assigned personnel and scheduled activities.

**13. Coordination and Continuity of Care**

a. Coordination of emergency services shall be provided for every individual. Coordination of emergency services includes but is not limited to identifying and linking the individual with all available services necessary to stabilize the crisis, ensuring transition to routine care, providing necessary assistance in accessing those services, and conducting follow-up to determine the individual’s status and need for further service.

b. A written policy shall be developed and implemented that defines the steps to be taken to ensure that every effort is made to contact existing treatment providers during the course of the individual’s assessment in the service.

c. A written procedure shall be developed and implemented to ensure continuity of care and successful linkage with the referral facility or provider.

d. A discharge plan shall be developed for every individual, and shall include:

1) Appropriate education relevant to the individual’s condition;

2) Information about the most effective treatment for the individual’s behavioral health disorder;

3) Identification of potential obstacles to a successful return to the living situation of the individual’s choice and means to address these obstacles; and

4) Information about follow-up care, and appropriate linkages to post discharge providers.

# VII. Psychiatric Emergency Service Centers

#### A. Definitions

Psychiatric Emergency Service Centers (PESCs) provide immediate access to assessment and a continuum of stabilizing treatment for individuals presenting with behavioral health crises. These units are co-located with licensed hospitals or Crisis Stabilization Units (CSUs) and have the ability to manage the most severely ill individuals at all times, including immediate access to emergency medical care. PESCs must be available to individuals who walk in, and must contain a combination of service types including Extended Observation and Inpatient Hospital Services or a CSU.

**1. Extended Observation Unit**

Emergency and crisis stabilization services that provide emergency stabilization in a secure and protected, clinically staffed (including medical and nursing professionals), psychiatrically supervised treatment environment with immediate access to urgent or emergent medical evaluation and treatment.

**2. Inpatient Hospital Services**

Hospital services staffed with medical and nursing professionals who provide 24-hour professional monitoring, supervision, and assistance in an environment designed to provide safety and security during acute behavioral health crisis. Staff provides intensive interventions designed to relieve acute symptomatology and restore the individual's ability to function in a less restrictive setting.

**3.** **Crisis Stabilization Unit (CSU)**

Short-term residential treatment designed to reduce acute symptoms of mental illness provided in a secure and protected clinically staffed, psychiatrically supervised, treatment environment that complies with a crisis stabilization unit licensed under Chapter 577 of the Texas Health and Safety Code and Title 25, Part 1, Chapter 411, Subchapter M of the Texas Administrative Code.

#### B. Goals

* Prompt and comprehensive assessment
* Stabilization in a secure environment
* Crisis resolution
* Reduction of inappropriate inpatient admissions
* Referral to clinically appropriate levels of care

#### C. Description

The PESC is co-located with a licensed hospital or CSU with immediate access to emergency medical services, and is staffed by medical personnel and mental health professionals. Medication and crisis intervention services are provided to stabilize individuals with the goal of transitioning them to clinically appropriate levels of care.

The PESC includes extended observation services, which may be appropriate for individuals who cannot be promptly stabilized and discharged to a lower level of care. The service offers observation beds in a secure and protected, clinically staffed, psychiatrically supervised treatment environment.  These programs are designed to provide a safe and secure environment for short-term stabilization of symptoms that may or may not require a continued stay in an acute care facility.  Duration of extended observation services shall not exceed 48 hours, by which time stabilization and/or a determination of the appropriate level of care shall be made. Continuity of care is provided to ensure transfer to continuing treatment and linkage with necessary support services.

The PESC also includes inpatient hospital or crisis stabilization beds for individuals who cannot be stabilized within 48 hours. These individuals receive more extensive treatment for up to 14 days, with an average length of stay of 3-5 days. The availability of PESCs is dependent on LMHA funding.

#### D. Standards

##### 1. Availability

If provided, this service shall be available 24 hours a day, seven days a week throughout the participating service areas.

##### 2. Physical Plant

a. Services shall be co-located with a HHSC licensed hospital or CSU.

b. The LMHA shall have a written agreement with the hospital or CSU with which the PESC is co-located.

c. Facilities shall be accessible and meet all ADAAG/TAS and applicable sections of the Texas Administrative Code.

d. Facilities shall have provisions for ensuring safety.

e. Offices shall have at least one designated area where persons in extreme crisis can be safely maintained and monitored until transported to another level of care (e.g., hospital or crisis stabilization unit).

f. Facility spaces shall afford privacy for protection of confidentiality.

g. If services are provided for children and youths, the facility shall have separate child, youth, and adult treatment and observation areas.

##### 3. Staffing

a. A psychiatrist shall serve as the medical director for all crisis services and approves all procedures and protocols used in crisis services.

b. Duties and responsibilities for all staff involved in assessment or treatment shall be defined in writing, appropriate to staff training and experience, and in conformance with the staff member’s scope of practice (if applicable) and state standards for privileging and credentialing.

c. All staff involved in assessment or treatment shall receive crisis training that includes but is not limited to:

1) Signs, symptoms, and crisis response related to substance use and abuse;

2) Signs, symptoms, and crisis response to trauma, abuse, and neglect; and

3) Assessment and intervention for children and youths.

d. The unit shall have sufficient trained physicians (preferably psychiatrists), or psychiatric APNs, PAs, RNs, LVNs, LPHAs, QMHP-CSs, and trained and competent paraprofessionals to allow for:

1) Individual reassessment at least every 15 minutes for trained and competent paraprofessionals, two hours for nursing, four hours for QMHP-CSs, and 12 for physicians (preferably psychiatrists) or a psychiatric APN or PA

2) Active therapeutic intervention consistent with the individual’s clinical state; and

4) Patient and staff safety including one to one observation as needed.

e. Staffing shall include:

1) A physician (preferably a psychiatrist), or a psychiatric APN or PA on call 24 hours/day to evaluate individuals face-to-face or via telemedicine as needed;

2) At least one LPHA on site 24 hours/day, seven days/week;

3) At least one RN on site 24 hours/day, seven days/week;

4) A QMHP-CS on each shift is assigned to identified individuals; and

5) Trained and competent paraprofessionals on site 24 hours/day, seven days/week.

##### 4. Assessment

a. Triage:

1) Individuals shall be triaged by a physician (preferably a psychiatrist), a psychiatric APN, PA, or RN within 15 minutes of presentation, with procedures to prioritize imminently dangerous individuals. The psychiatrist triage may be performed via telemedicine.

2) Until the individual receives that triage he or she shall wait in a safe and secure location with constant staff observation and monitoring.

3) The triage shall include an evaluation of risk of harm to self or others, presence or absence of cognitive signs suggesting delirium, need for immediate full assessment, need for emergency intervention, and a medical screening assessment, including vital signs and a medical history, whenever possible.

4) A written description of the process for performing this triage shall be followed. The description addresses screening for emergency medical conditions and the process for accessing emergency medical intervention. When emergency medical services are not available on site, trained staff who are prepared to provide first-responder health care (Basic Life Support, First Aid, et cetera) shall be on site at all times.

5) Written criteria shall be developed and implemented to determine which individuals presenting for care are to be referred to another health care facility or provider. These criteria ensure that those referred to a lower level of care are at low risk of harm to themselves or others, have no more than mild functional impairment, and do not have significant medical, psychiatric, or substance abuse comorbidity. Referral decisions shall consider the individual’s ability to understand and accept the need for treatment (if such need exists) and to comply with the referral.

b. Assessment Process:

1) Individuals who are not referred for care elsewhere after triage shall receive a full assessment.

2) The assessment shall be initiated within one hour of the individual’s presentation.

3) Individuals who receive an assessment shall see a psychiatrist within eight hours of presentation to the PESC.

4) A written procedure shall be developed and implemented that allows individuals who require a psychosocial assessment more immediately to be seen and assessed within 15 minutes of that determination.

c. Psychosocial and Psychiatric Assessment:

1) The psychosocial and psychiatric assessment shall include:

a) Patient interview(s) by a physician (preferably a psychiatrist) or a psychiatric APN or PA, either face to face or electronically or by a physician with electronic access to emergency psychiatrist;

b) Review of records of past treatment (when available);

c) History from collateral sources (as available and in keeping with laws governing confidentiality);

d) Contact with the current health providers whenever possible;

e) A history of previous treatment and the response to that treatment that includes a record of past psychiatric medications, dose, response, side effects and adherence, and an up-to-date record of all medications currently prescribed, and the name of the prescribing professional;

f) A detailed assessment of substance use and abuse that includes the quantity and frequency of all substances used;

g) Identification of social, environmental, and cultural factors that may be contributing to the emergency;

h) An assessment of the individual’s ability and willingness to cooperate with treatment; and

i) A general medical history that addresses conditions that may affect the individual’s current condition (including a review of symptoms focused on conditions that may present with psychiatric symptoms or that may cause cognitive impairment, e.g., a history of trauma).

2) Every individual shall be screened by trained staff for possible trauma, abuse or neglect, and identified cases of potential abuse or neglect are appropriately reported.

3) Every individual less than 18 years of age shall be assessed (including a developmental assessment) by an LPHA with appropriate training in the assessment and treatment of children and youths in a crisis setting.

d. Physical Health Assessment

1) The individual shall receive a physical health assessment within four hours of presentation.

2) A written process and procedure shall be developed and implemented that ensures that those who require a physical health assessment more immediately can be seen and assessed within five minutes of initial presentation.

3) An initial evaluation for physical health generally includes:

a) Vital signs;

b) A cognitive examination that screens for significant cognitive or neuron-psychiatric impairment;

c) A screening neurological examination that is adequate to rule out significant acute pathology;

d) A medical history and review of systems; and

e) Other tests and examinations as appropriate and indicated.

4) Immediate access to urgent and emergent non-psychiatric medical assessment and treatment shall be provided.

5) Due to the high medical and substance abuse comorbidity in this population, on-site capability shall be provided for such routine assessments as pulse oximetry, glucometry (or stat blood glucose testing), urgent urine toxicology (results available within four hours), and a targeted physical examination.

6) Immediate access to on-site to phlebotomy and same-day laboratory tests and evaluations shall be provided, including but not limited to the following:

a) A complete blood count with differential;

b) A comprehensive metabolic panel;

c) A thyroid screening panel;

d) A toxicology evaluation;

e) A pregnancy test (women);

f) A screening test for tertiary syphilis; and

g) Psychiatric medication levels.

##### 5. Treatment

a. A written protocol shall be developed and implemented that specifies the most effective and least restrictive approaches to common behavioral health emergencies in the service and is approved by the medical director. The protocol shall be reviewed and updated as needed.

b. Immediate care to stabilize a behavioral health emergency (e.g., to prevent harm to the patient or to others) shall be available at all times.

c. A nursing care plan shall be developed for every individual.

d. An individualized treatment plan shall be developed for each patient that provides the most effective and least restrictive treatment for the individual’s behavioral health disorder. The plan shall be based on the provisional psychiatric diagnosis and incorporates, to the maximum extent possible, individual preferences. The crisis plan shall address intervention, outcomes, plans for follow-up and aftercare, and referrals.

e. Treatment planning shall place emphasis on crisis intervention services necessary to stabilize and restore the individual to a level of functioning that does not require hospitalization.

f. Response to treatment shall be assessed at least every two hours by RNs trained in the assessment of acute behavioral health patients or by a physician (preferably a psychiatrist), or by a psychiatric APN or PA.

g. Whenever necessary, the treatment plan shall be adjusted to incorporate the individual’s response to previous treatment.

h. Individuals and families shall receive appropriate educational information that is relevant to their condition. This includes information about the most effective treatment for the individual’s behavioral health disorder.

i. An LPHA shall be responsible for providing the individual with active treatment including psychoeducation, crisis counseling, substance abuse counseling, and developing a plan for returning to the community that addresses potential obstacles to a successful return.

##### 6. Inpatient and Crisis Stabilization Services

a. Individuals who cannot be stabilized within 48 hours shall be admitted to inpatient or crisis stabilization services. If a bed is not available, a consumer may also be transferred to an appropriate State mental health hospital or community based psychiatric hospital.

b. Each consumer admitted shall receive a psychosocial assessment by an LPHA.

c. Consumers shall be involved in active treatment that includes psychiatric assessment and treatment, psychotherapy, psycho-education, crisis counseling, family intervention, substance abuse treatment, and relapse-prevention.

d. CSUs shall comply with Chapter 577 of the Texas Health and Safety Code and Title 25, Part 1, Chapter 411, Subchapter M of the Texas Administrative Code.

e. Inpatient units shall comply with TAC Chapter 411 Subchapter J Standards of Care and Treatment in Psychiatric Hospitals.

##### 7. Coordination and Continuity of Care

a. A discharge plan shall be developed for every individual.

b. If inpatient treatment is not indicated, the discharge plan shall include appropriate education relevant to the individual’s condition, information about the most effective treatment for the individual’s behavioral health disorder, information about follow-up care, and appropriate linkages to post discharge providers.

c. If a physical health issue requires hospitalization, the individual shall be transferred to appropriate community hospital to address the physical health issue.

d. A written procedure shall be developed and implemented for ensuring continuity of care and successful linkage with the referral facility or provider.

e. Continuity of care shall be provided for every individual. Continuity of care consists of identifying and linking the individual with all available services necessary to stabilize the crisis and ensure transition to routine care, providing necessary assistance in accessing those services, and conducting follow-up to determine the individual’s status and need for further service. This includes contacting and coordinating with the individual’s existing services providers in a timely manner and in conformance with applicable confidentiality requirements.